Significant Changes from the 2018 to 2021 IECC

11/21/2022

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Providing effective energy strategies for buildings and communities

ICC Preferred Provider # 2396
ICC Course # XXXXX

Schedule

8:00 – 11:30 am: Session A

11:30 – 12:30 pm: Lunch

12:30 – 3:30 pm: Session B

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SEDAC is a Preferred Education Provider with the International Code Council (ICC). Credits earned on completion of this program will be reported to ICC for ICC members. Certificates of Completion will be issued to all participants.

ICC PREFERRED EDUCATION PROVIDER

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Learning Objectives

1. Describe the changes in the updated Illinois Energy Conservation Code (2018 IECC to 2021 IECC)

2. Summarize the most significant Illinois Energy Conservation Code compliance issues in the commercial and residential provisions

3. Comply with the updated Illinois Energy Conservation Code for commercial and residential building design and construction

4. Compare the proposed Illinois Amendments to the 2021 IECC and evaluate their impact on the code

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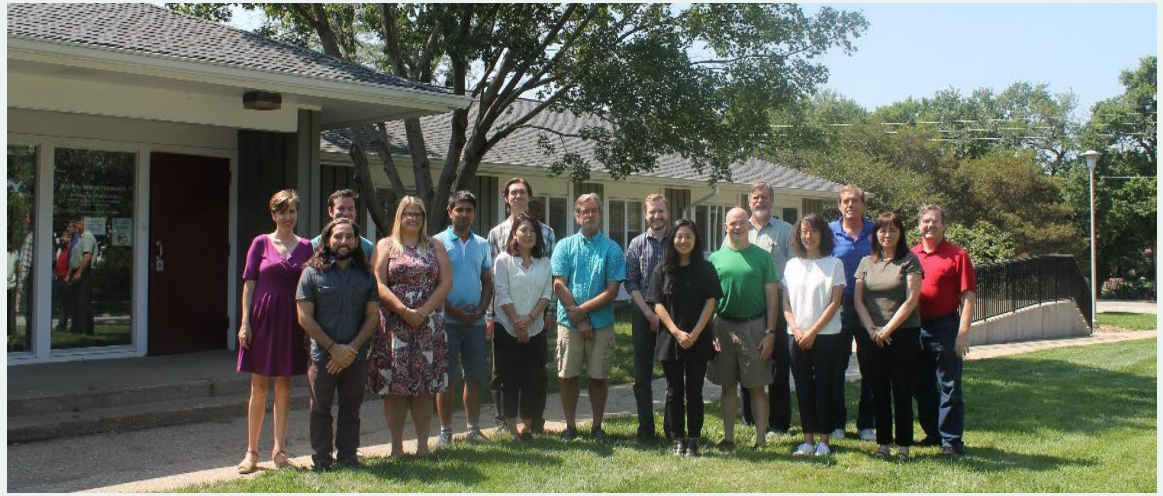
Who We Are

We assist buildings and communities in achieving energy efficiency, saving money, and becoming more sustainable.

We are an applied research program at the University of Illinois.

Our mission: Reduce the energy footprint of Illinois and beyond.



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What We Do

We **help facilities** become more energy efficient.

We **educate.**

We **research.**

We **advocate** for a greener future.

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SEDAC is the Illinois Energy Conservation Code Training Provider

This training program is sponsored by **Illinois State Energy Office**

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Energy Code Training Program

- Technical support
  energycode@illinois.edu
  800.214.7954
- Online resources at
  smartenergy.illinois.edu/energy-code
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Illinois Energy Conservation Code

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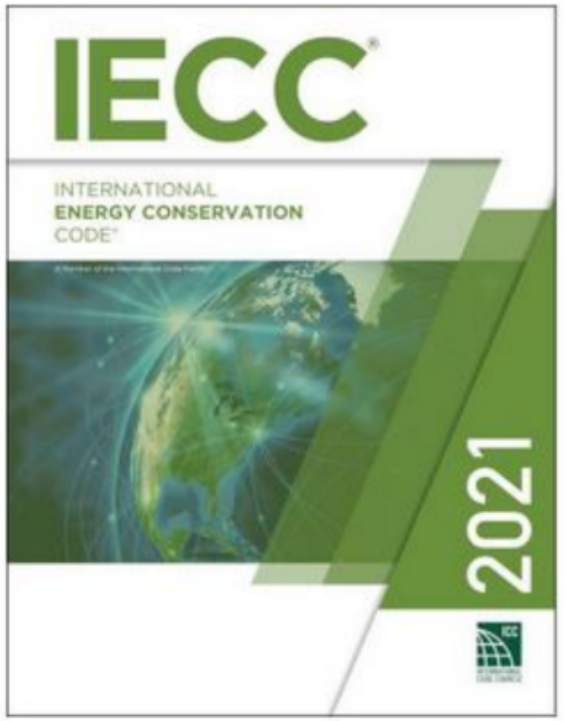
Illinois Energy Conservation Code

Home > Energy Code Training > Illinois Energy Conservation Code

Click here for the **2022 Chicago Energy Transformation Code**.



Updated Illinois Energy Conservation Code (2021 IECC with IL Amendments) is expected to be effective on December 1, 2022

The updated Illinois Energy Conservation Code, based on the 2021 IECC with Illinois Amendments, is expected to become effective **December 1, 2022** (tentative). This Code will apply for permit applications started on or after December 1, 2022.

The separate documents can be accessed here:

- 2021 IECC
- Illinois Amendments are not yet available

A consolidated 2021 IECC with IL Amendments book will be available from ICC in June 2023.

SEDAC is in the process of coordinating with the International Code Council (ICC) to publish a printed and online version of the Illinois Energy Conservation Code Book (Code Book), which incorporates Illinois Amendments into the 2021 IECC. In the past, to fully understand the Illinois Energy Conservation Code, stakeholders needed to refer to two separate documents – IECC and Illinois Amendments. The newly published Code Book will make the Illinois Energy Conservation Code more accessible and easier to comply with. Architects, engineers, contractors, code officials and other related building professionals throughout Illinois will benefit from this resource. This effort is funded by the Illinois EPA Office of Energy.

www.smartenergy.Illinois.edu/energy-code/

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Illinois Energy Conservation Code

Chicago Energy Transformation Code

Illinois Stretch Code

Frequently asked questions

Checklists

Energy code smart tips

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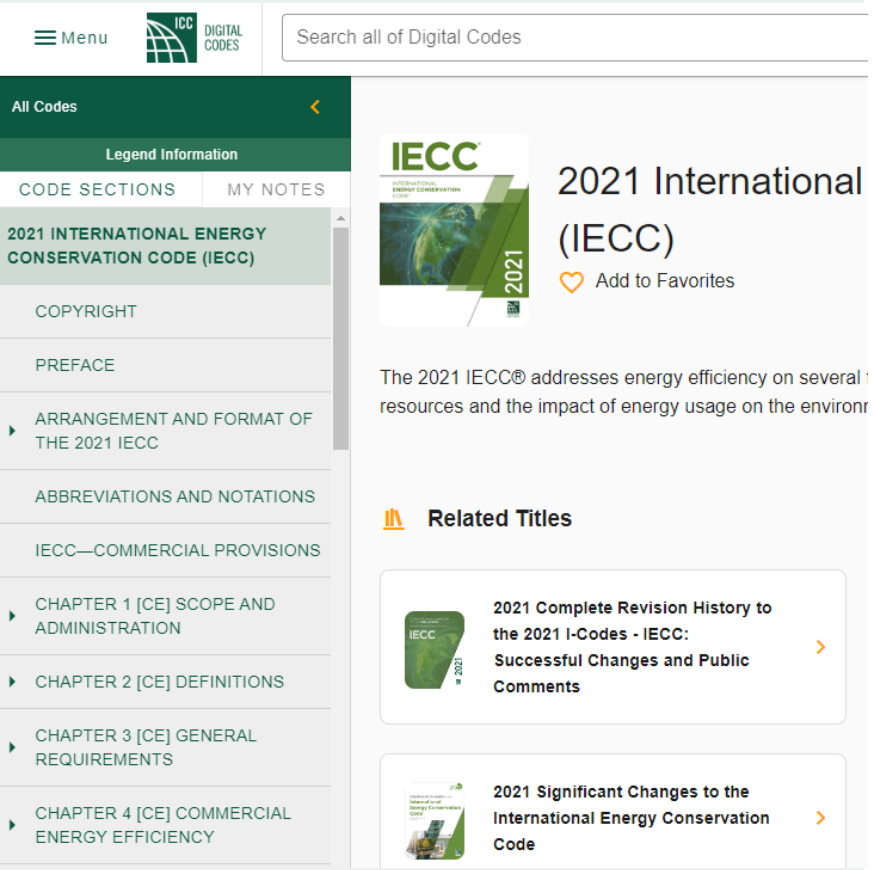
Access to 2021 IECC, IL Amendments & Chicago Energy Code

https://codes.iccsafe.org/content/IECC2021P2

1st DRAFT:

CDB June 2022 Board Book pp 040-080

https://codes.iccsafe.org/codes/illinois/Chicago



CHAPTER 1 [CE]
SCOPE AND ADMINSTRATION

SECTION C101
SCOPE AND GENERAL REQUIREMENTS

C101.1 Title. This code shall be known as the ~~*International Energy Conservation Code* of [NAME OF JURISDICTION] and shall be cited as such.~~ *Illinois Energy Conservation Code* or "this Code" and shall mean:

With respect to the State facilities covered by 71 Ill. Adm. Code 600.Subpart B:

This Part, all additional requirements incorporated within Subpart B (including the 2018 International Energy Conservation Code, including all published errata but excluding published supplements that encompass ASHRAE 90.1-2016), and any statutorily authorized adaptations to the incorporated standards adopted by CDB are effective July 1, 2019.

With respect to the privately funded commercial facilities covered by 71 Ill. Adm. Code 600.Subpart C:

This Part, all additional requirements incorporated within Subpart C (including the 2018 International Energy Conservation Code, including all published errata and excluding published supplements that encompass ASHRAE 90.1-2016), and any statutorily authorized adaptations to the incorporated standards adopted by CDB, are effective July 1, 2019.

C101.1.2 Adoption. The Board shall adopt

C101.1.3 Adaptation. The Board may appropriately adapt the International Energy Conservation Code to apply to the particular economy, population, distribution, geography and climate of the Sate and construction within the State, consistent with the public policy objectives of the EEB Act.

C101.5 Compliance. ~~*Residential buildings* shall meet the provisions of IECC—Residential Provisions.~~ *Commercial buildings* shall meet the provisions of ~~IECC—Commercial Provisions~~ the *Illinois Energy Conservation Code* covered by 71 Ill. Adm. Code 600.Subpart C. The local authority having jurisdiction (AHJ) shall establish its own procedures for enforcement of the Illinois Energy Conservation Code. Minimum compliance shall be demonstrated by submission of:

1. Compliance forms published in the ASHRAE 90.1 User's Manual; or
2. Compliance Certificates generated by the U.S. Department of Energy's COMcheck™ Code compliance tool; or
3. Other comparable compliance materials that meet or exceed, as determined by the AHJ, the compliance forms published in the ASHRAE 90.1 User's Manual or the U.S. Department of Energy's COMcheck™ Code compliance tool; or
4. The seal of the architect/engineer as required by Section 14 of the Illinois Architectural Practice Act [225 ILCS 305], Section 12 of the Structural Engineering Licensing Act [225 ILCS 340] and Section 14 of the Illinois Professional Engineering Practice Act [225 ILCS 325].

ARTICLE XIII.
CHICAGO ENERGY CONSERVATION CODE

SECTION 1. The Municipal Code of Chicago is hereby amended by inserting a new Title 14N, as follows:

TITLE 14N ENERGY CONSERVATION CODE

PART I – COMMERCIAL PROVISIONS

CHAPTER 14N-C1 SCOPE AND PURPOSE

14N-C1-C001 Adoption of the commercial provisions of the International Energy Conservation Code by reference.

The commercial provisions of the *International Energy Conservation Code*, 2018 edition, second printing, and all erratum thereto identified by the publisher (hereinafter referred to as "IECC-CE"), except Appendix CA, are adopted by reference and shall be considered part of the requirements of this title except as modified by the specific provisions of this title.

If a conflict exists between a provision modified by this title and a provision adopted without modification, the modified provision shall control.

14N-C1-C002 Citations.

Provisions of IECC-CE which are incorporated into this title by reference may be cited as follows:

14N-C[IECC-CE chapter number]-[IECC-CE section number]

14N-C1-C003 Global modifications.

The following modifications shall apply to each provision of IECC-CE incorporated into this title:

1. Replace each occurrence of "*International Codes*" with "*Chicago Construction Codes.*"
2. Replace each occurrence of "*International Building Code*" with "*Chicago Building Code.*"
3. Replace each occurrence of "ASME A17.1" or "ASME A17.1/CSA B44" with "the *Chicago Conveyance Device Code.*"
4. Replace each occurrence of "NFPA 70" with "the *Chicago Electrical Code.*"

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SEDAC Top 40 & Other Workshops

Top 40 Requirements You Should Know: 2021 IECC

- Jun 13, 2023 (DuPage County)

Other workshops

- Archived: Importance of Mechanical Insulation: Safety & Efficiency

Registration: https://smartenergy.illinois.edu/events

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SEDAC Top 10 Series Webinars

Top 10 Requirements You Should Know: 2021 IECC

- Residential: Archived
- Commercial Envelope: Archived
- Commercial Lighting: Feb 22, 2023
- Commercial HVAC: Mar 22, 2023

Registration: https://smartenergy.illinois.edu/events

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Commercial Definitions

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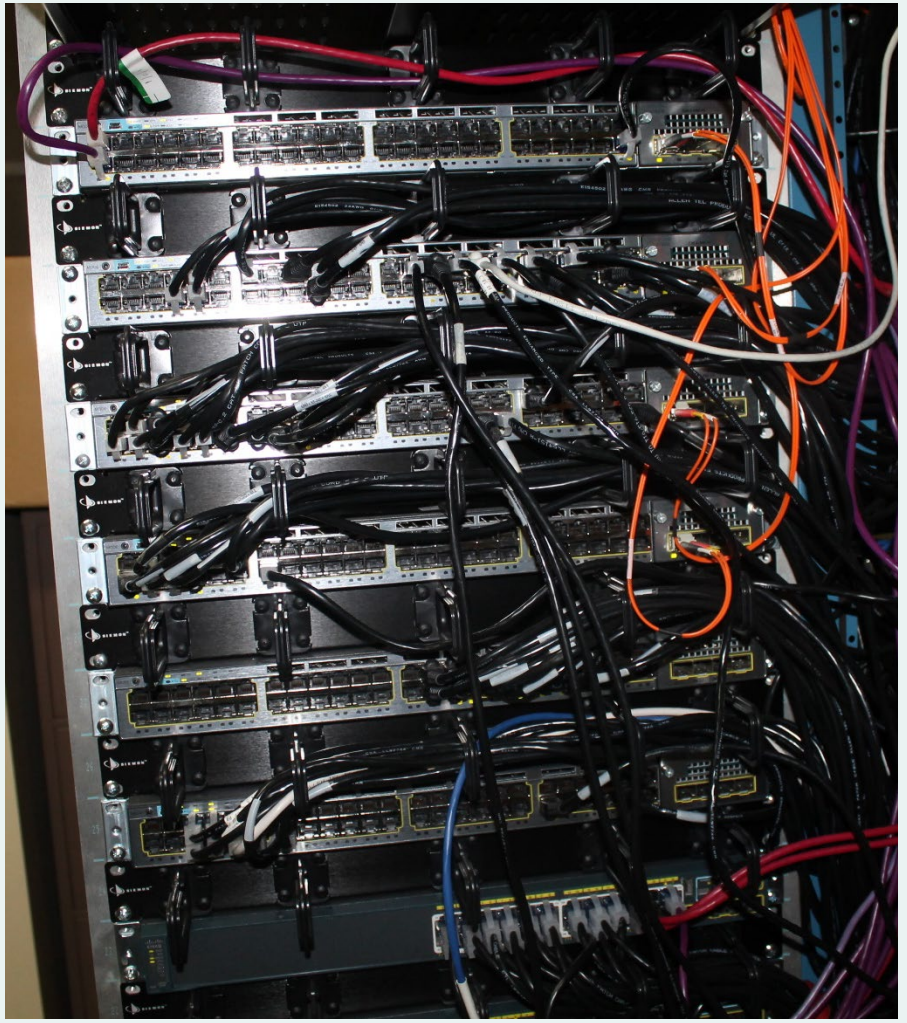
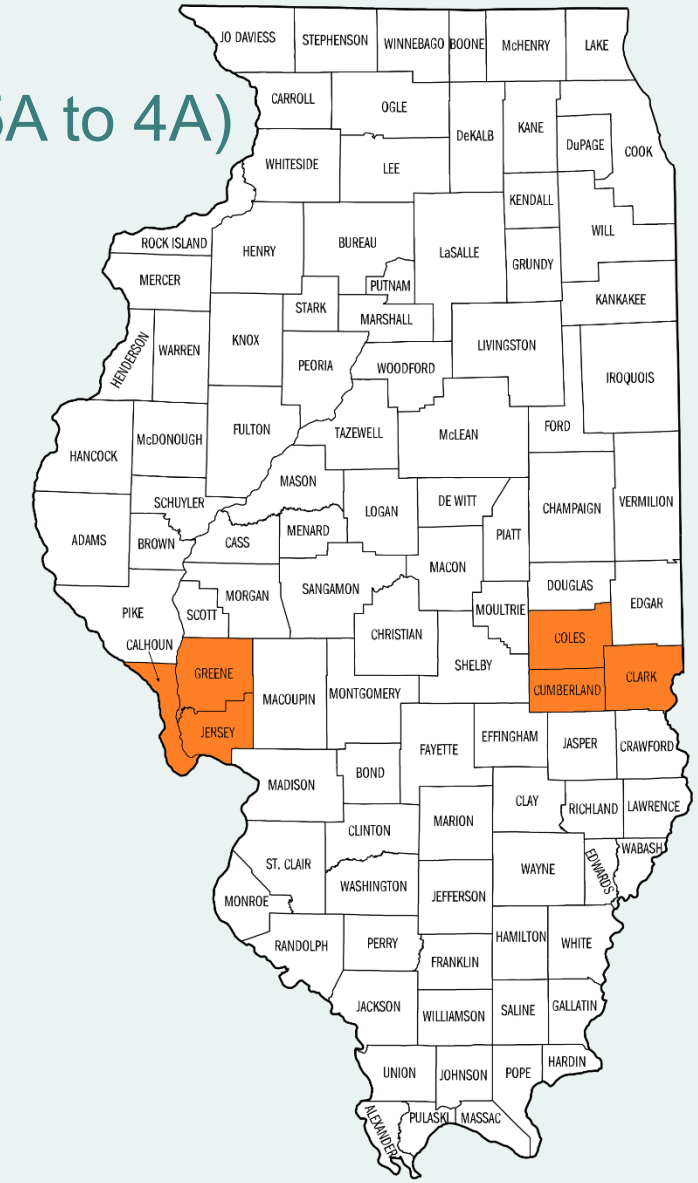
Data

- Equipment Building: ≥7 watts/SF
- Computer Room: ≤20 watts/SF or ≤10kW ITE load total
- Data Center >20 watts/SF and 10 kW ITE load total (Uses ASHRAE 90.4)



Climate Zones

- Counties with adjusted climate zones (5A to 4A)
  - Calhoun
  - Clark
  - Coles
  - Cumberland
  - Greene
  - Jersey



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Commercial Envelope

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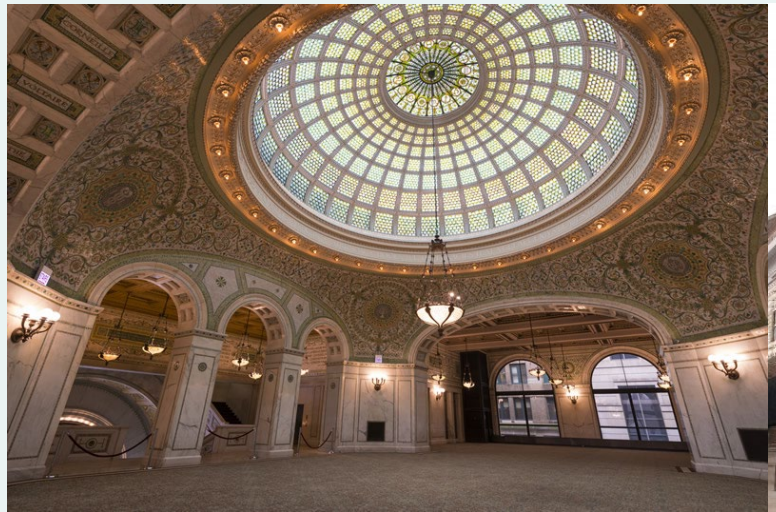
Building Exemptions from Illinois Energy Code

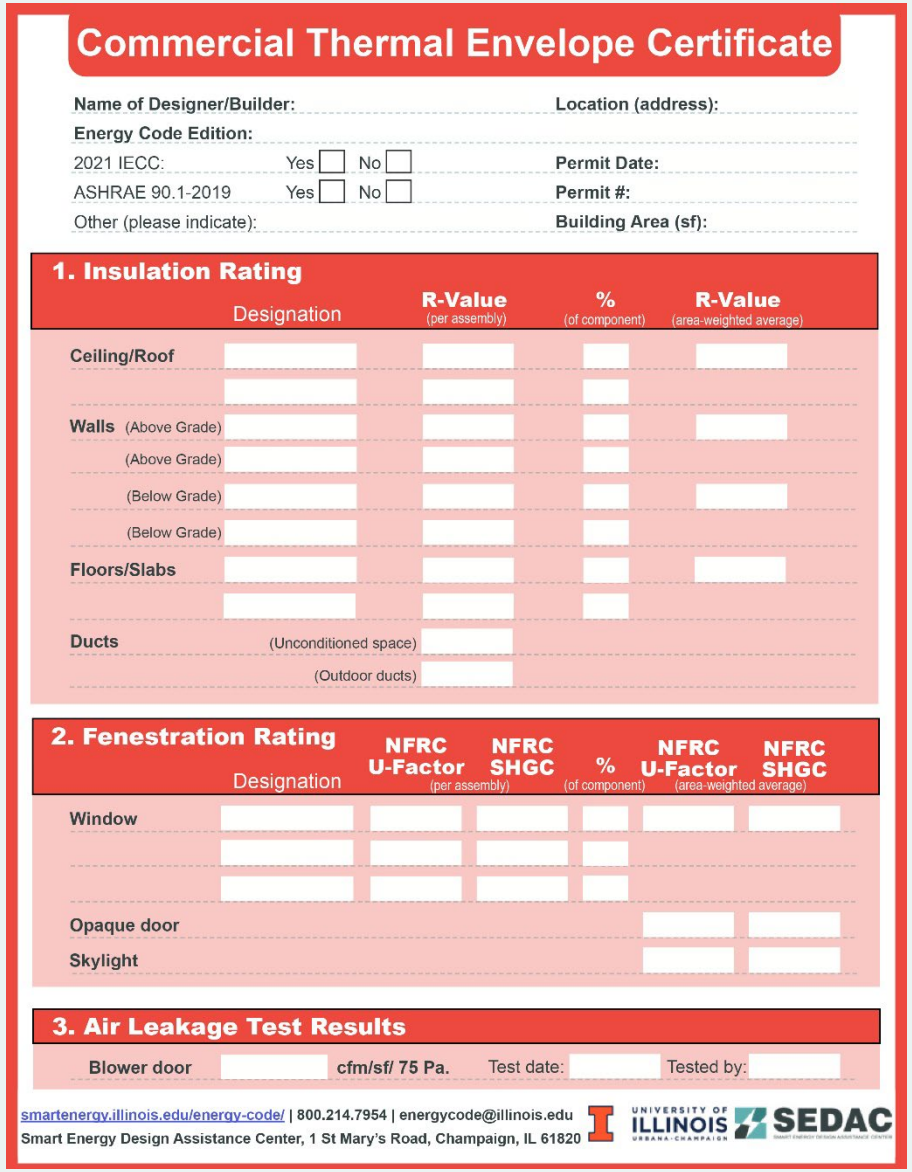
- Exempt from locally adopted building code
- Don’t contain conditioned space
- Buildings without comfort conditioning
- Listed historic buildings
- Buildings specified in IECC

Image source: https://www2.illinois.gov/sites/agr/Pages/default.aspx



C401.3

Certificate Requirements



Commercial Thermal Envelope Certificate

Name of Designer/Builder: Location (address):

Energy Code Edition:

2021 IECC: Yes ☐ No ☐ Permit Date:

ASHRAE 90.1-2019 Yes ☐ No ☐ Permit #:

Other (please indicate): Building Area (sf):

1. Insulation Rating

	Designation	R-Value (per assembly)	% (of component)	R-Value (area-weighted average)
Ceiling/Roof				
Walls (Above Grade)				
(Above Grade)				
(Below Grade)				
(Below Grade)				
Floors/Slabs				
Ducts	(Unconditioned space)			
	(Outdoor ducts)			

2. Fenestration Rating

	Designation	NFRC U-Factor (per assembly)	NFRC SHGC (per assembly)	% (of component)	NFRC U-Factor (area-weighted average)	NFRC SHGC (area-weighted average)
Window						
Opaque door						
Skylight						

3. Air Leakage Test Results

Blower door cfm/sf/ 75 Pa. Test date: Tested by:

smartenergy.illinois.edu/energy-code/ | 800.214.7954 | energycode@illinois.edu

Smart Energy Design Assistance Center, 1 St Mary's Road, Champaign, IL 61820

UNIVERSITY OF ILLINOIS URBANA-CHAMPAIGN SEDAC

- R-values of insulation for: roofs, walls, foundations and slabs, basement walls, crawlspace walls and floors, and ducts outside conditioned space
- U-factors and SHGC of fenestration
- Results from building envelope air leakage testing

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Compliance Resources

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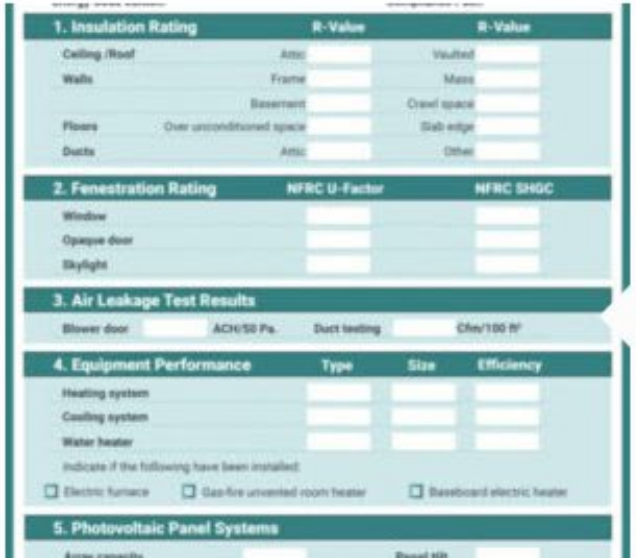
Home > Energy Code Checklists

Check out our checklists to help with energy code site inspection and compliance!

Commercial Thermal Envelope Certificate

September 8, 2022

2021 IECC requires all new commercial buildings to complete and post a permanent Thermal Envelope Certificate. This certificate template can [...]



Illinois Home Energy Code Checklist & Energy Certificate

June 16, 2022

For Homeowners and Realtors. Are you interested in buying an energy efficient home? Do you want to learn how to make your home more energy efficient? This checklist can help you quickly assess a home's energy performance and construction.

C402.1.1

Greenhouses

- Opaque envelope complying with C402.2 and C402.4.5
- Internal partitions between greenhouse and other conditioned space complying with C402.2, C402.4.3 and C402.4.5
- Fenestration in compliance with Table C402.1.1.1

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C402.1.2

Equipment Buildings

- Floor area not more than **1,200 sf**
- House electric equipment not less than 7 watts per square foot and not intended for human occupancy
- Heating system capacity not greater than 17,000 Btu/hr w/ setpoint restricted to 50F or less
- Average wall and roof U-factor of less than 0.200 in CZ 1-5

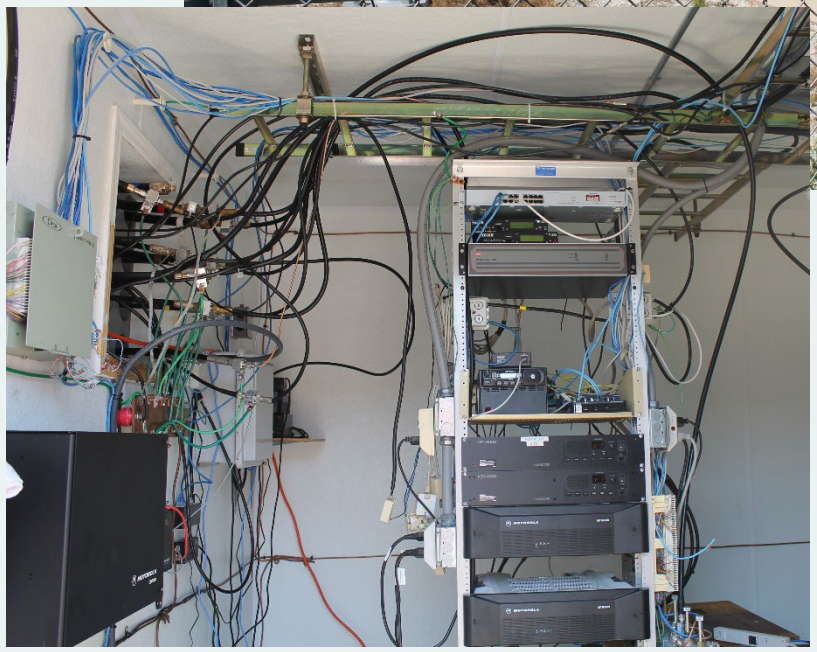

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Table C402.1.3

Excerpts: Insulation Component Min. R-Values

Climate Zone & Group	Roofs			Walls				
	Above Deck	Metal Building	Attic/ Other	Mass	Metal Building	Metal Framed	Wood Framed	Below Grade
CZ 4 Other	R-30 ci	R-19 + R-11 LS	R-49 (R-30)	R-9.5ci	R-13 + R-13ci	R-13 + R-7.5ci	R-13 + R-3.8ci or R-20	R-7.5 ci
CZ 4 Group R	R-30 ci	R-19 + R-11 LS	R-49 (R-30)	R-11.4ci	R-13 + R-14ci (+R-13ci)	R-13 + R-7.5ci	R-13 + R-3.8ci or R-20	R-10ci (R-7.5ci)
CZ 5 Other	R-30 ci	R-19 + R-11 LS	R-49 (R-30)	R-11.4ci	R-13 + R-14ci (+R-13ci)	R-13 + R-10ci (+R-7.5ci)	R-13 + R-7.5ci or R-20 + R-3.8ci (R-13 + R-3.8ci or R-20)	R-7.5 ci
CZ 5 Group R	R-30 ci	R-19 + R-11 LS	R-49	R-13.3ci	R-13 + R-14ci (+R-13ci)	R-13 + R-10ci (+R-7.5ci)	R-13 + R-7.5ci or R-20 + R-3.8ci	R-10 ci (R-7.5ci)

Values are 2021 IECC requirements.

Original 2018 IECC values in white text (R-value)

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Table C402.1.3

Excerpts: Insulation Component Min. R-Values

Climate Zone & Group	Floors			
	Mass	Joist /Framed	Unheated Slab	Heated Slab*
4 Other	R-14.6ci (R-10ci)	R-30	R-15 24” below (R-10 24”)	R-15 24” below grade + R-5 under
4 Group R	R-16.7ci (R-10.4ci)	R-30	R-15 24” below (R-10 24”)	R-15 24” below grade + R-5 under
5 Other	R-14.6ci (R-10ci)	R-30	R-15 24” below (R-10 24”)	R-15 36” below grade + R-5 under
5 Group R	R-16.7ci (R-12.5ci)	R-30	R-20 24” below (R-10 24”)	R-15 36” below grade + R-5 under

*Note that for heated slab-on-grade construction, insulation is permitted to stop at the bottom of the slab edge

Requirements for doors in the 2018 R-value table have been moved to the U-factor table in 2021 IECC

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Table C402.1.4

Excerpts: Insulation Component Max. U-Factors

Climate Zone & Group	Roofs			Walls				
	Above Deck	Metal Building	Attic/ Other	Mass	Metal Building	Metal Framed	Wood Framed	Below Grade
CZ 4 Other	U-0.032	U-0.035	U-0.021 (U-0.027)	U-0.104	U-0.052	U-0.064	U-0.064	C-0.119
CZ 4 Group R	U-0.032	U-0.035	U-0.021 (U-0.027)	U-0.090	U-0.050 (U-0.052)	U-0.064	U-0.064	C-0.092 (C-0.119)
CZ 5 Other	U-0.032	U-0.035	U-0.021 (U-0.027)	U-0.090	U-0.050 (U-0.052)	U-0.055 (U-0.064)	U-0.051 (U-0.064)	C-0.119
CZ 5 Group R	U-0.032	U-0.035	U-0.021	U-0.080	U-0.050 (U-0.052)	U-0.055 (U-0.064)	U-0.051 (U-0.064)	C-0.092 (C-0.119)

Values are 2021 IECC requirements.

Original 2018 IECC values in white text (U-factor)

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Table C402.1.4

Excerpts: Insulation Component Max. U-Factors

Climate Zone & Group	Floors				Doors		
	Mass	Joist /Framed	Unheated Slab	Heated Slab*	Non-Swinging**	Swinging	Garage <14% Glazing
4 Other	U-0.057 (U-0.076)	U-0.033	F-0.52 (F-0.54)	F-0.62 (F-0.86 + 0.64)	U-0.31 (R-4.75)	U-0.37 (U-0.61)	U-0.31
4 Group R	U-0.051 (U-0.074)	U-0.033	F-0.52 (F-0.54)	F-0.62 (F-0.86 + 0.64)	U-0.31 (R-4.75)	U-0.37 (U-0.61)	U-0.31
5 Other	U-0.057 (U-0.074)	U-0.033	F-0.52 (F-0.54)	F-0.62 (F-0.79 + 0.64)	U-0.31 (R-4.75)	U-0.37 (U-0.61)	U-0.31
5 Group R	U-0.051 (U-0.064)	U-0.033	F-0.51 (F-0.54)	F-0.62 (F-0.79 + 0.64)	U-0.31 (R-4.75)	U-0.37 (U-0.61)	U-0.31

*Corrected 2018 IECC heated slab F-factor listings to match ASHRAE 90.1 Appendix A – not actual heated slab improvement

**Non-swinging doors in the 2018 R-value table have been moved to the U-factor table in 2021 IECC, and requirement relaxed

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C402.4.5

Opaque/Non-swinging Door Requirements

- Opaque Doors (swinging or non-swinging w/ <50% glazing) shall comply with Table C402.1.4 and be considered as part of the gross area of above-grade walls [C402.5.1]
- Opaque Non-swinging Doors
  - Horizontally hinged sectional doors with a single row of fenestration (14%>Fenestration Area<25% of total door area)
    - U-Factor <0.44 CZ 0-6
  - Other doors shall comply with C402.4.3 U-Factor and SHGC requirements

Images courtesy of DOE

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C402.2.1

Tapered Roof Assembly

- Average R-value can be used for tapered deck insulation [C402.2.1.1]
- Min. thickness shall be 1” [C402.2.1.2]
- Min. of 2 staggered layers except at gutter edge, drain, or scupper [C402.2.1.4]

- C402.1.4.1.1 sets comparable requirements for U-factor compliance

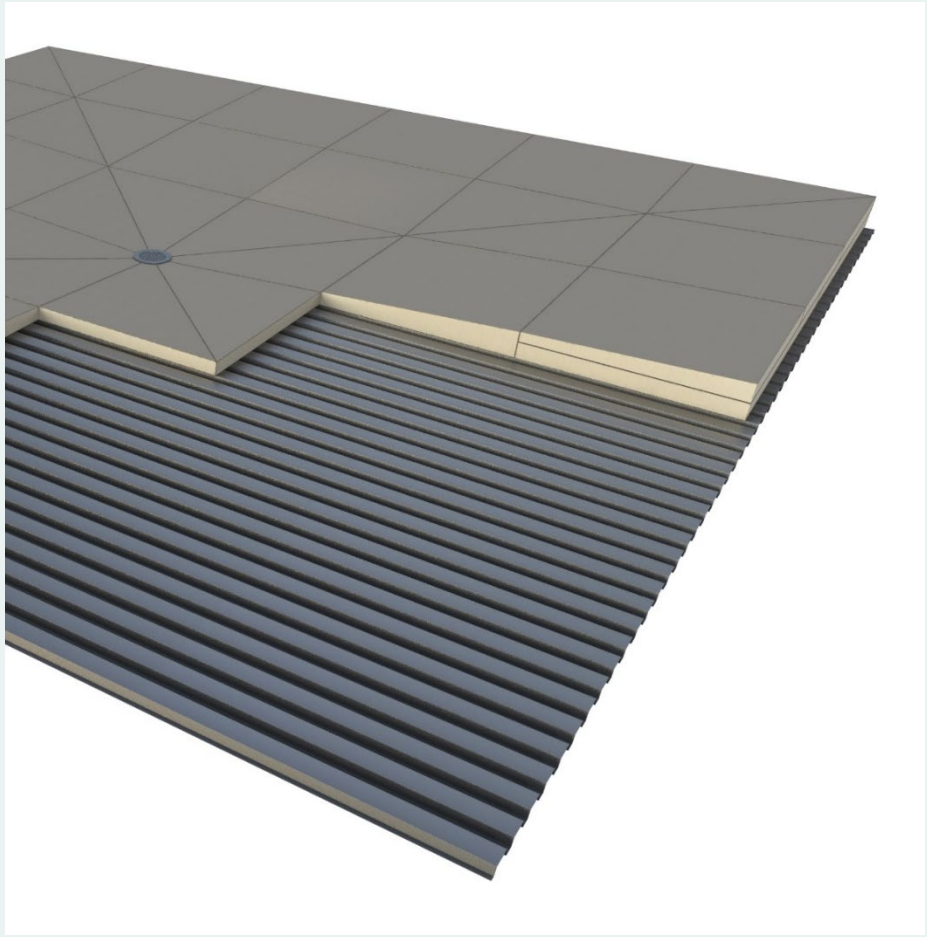

Image courtesy of PIMA

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C402.2.4

Perimeter Insulation

- Clarifies that where the slab on grade is greater than 24 inches below the finished exterior grade, perimeter insulation is not required.

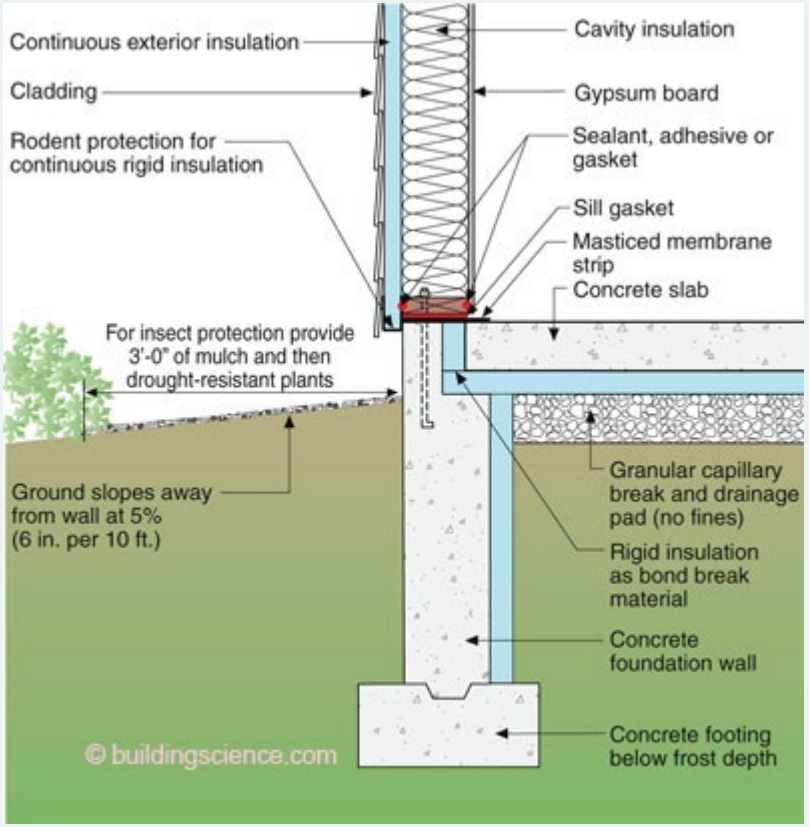

Image courtesy of buildingscience.com

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C402.4.2

Skylight Minimum Exception

- Excludes spaces designated as storm shelters designed in accordance with ICC 500

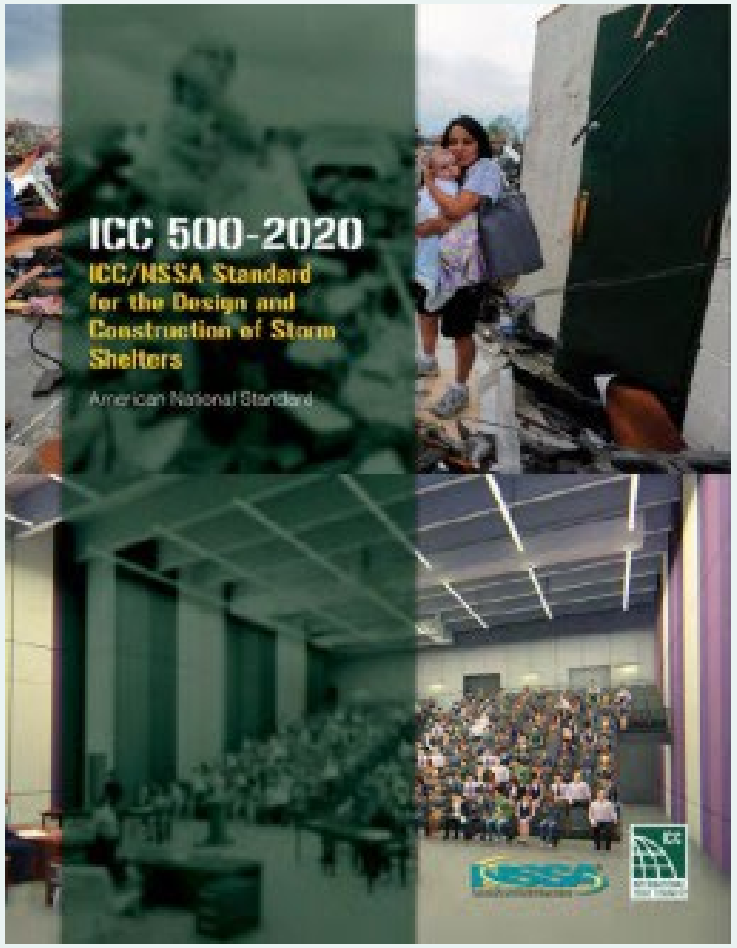

Image courtesy of ICC

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Table C402.4

Fenestration Max. U-Factor & SHGC Requirements

2018 IECC

CLIMATE ZONE	4 EXCEPT MARINE		5 AND MARINE 4	
Vertical Fenestration				
U-Factors				
Fixed fenestration	0.38		0.38	
Operable fenestration	0.45		0.45	
Entrance doors	0.77		0.77	
SHGC				
Orientation[a]	SEW	N	SEW	N
PF < 0.2	0.36	0.48	0.38	0.51
0.2 ≤ PF < 0.5	0.43	0.53	0.46	0.56
PF ≥ 0.5	0.58	0.58	0.61	0.61
Skylights				
U-factor	0.50		0.50	
SHGC	0.40		0.40	

2021 IECC

CLIMATE ZONE	4 EXCEPT MARINE		5 AND MARINE 4	
Vertical Fenestration				
U-Factors				
Fixed fenestration	0.36		0.36	
Operable fenestration	0.45		0.45	
Entrance doors	0.63		0.63	
SHGC				
	Fixed	Operable	Fixed	Operable
PF < 0.2	0.36	0.33	0.38	0.33
0.2 ≤ PF < 0.5	0.43	0.40	0.46	0.40
PF ≥ 0.5	0.58	0.53	0.61	0.53
Skylights				
U-factor	0.50		0.50	
SHGC	0.40		0.40	

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IL Amendment Proposal

SHGC/U-Factor Performance Enhancement

Buildings with more east/west fenestration than north/south fenestration have increased performance criteria depending on the ratio

Aw * SHGCw ≤ (At * SHGCc)/5
And
Ae * SHGCe ≤ (At * SHGCc)/5

Area west/east/total
SHGC west/east/code table
C402.4 value

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C402.4.2

Minimum Skylight Area

Same required toplit areas as 2018, but determination updated:

1. VT not less than 0.40 **OR VT_{annual} not less than 0.26**
2. Effective aperture:
    1. 1% using VT for standard skylights
    2. **0.66% using Tubular Daylight VT_{annual}**

Update accounts for differences in traditional vs tubular daylight systems

Similar minor updates made throughout C402.4 to account for tubular daylight devices

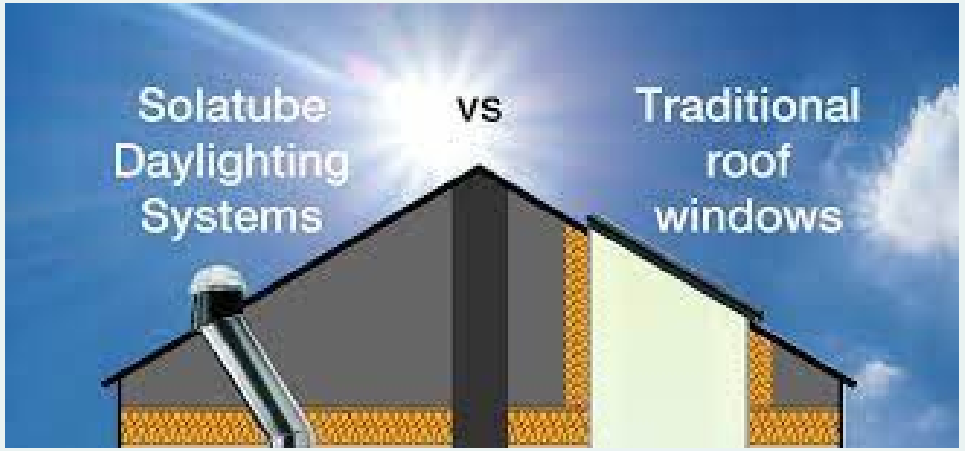

Image source: https://www.solatubesouth.co.uk/solatube-vs-roof-windows/

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C402.5

Summary of Air Barrier Requirements

- Air barrier materials must be installed inside, outside, **or** part of envelope assembly [C402.5.1]
  - Note: No AND. Either interior OR exterior, **allows for drying**
- Minimizing air leakage through fenestration [C402.5.4]
- Minimize air leakage through purposeful openings/penetrations [C402.5.5 thru .5.11]

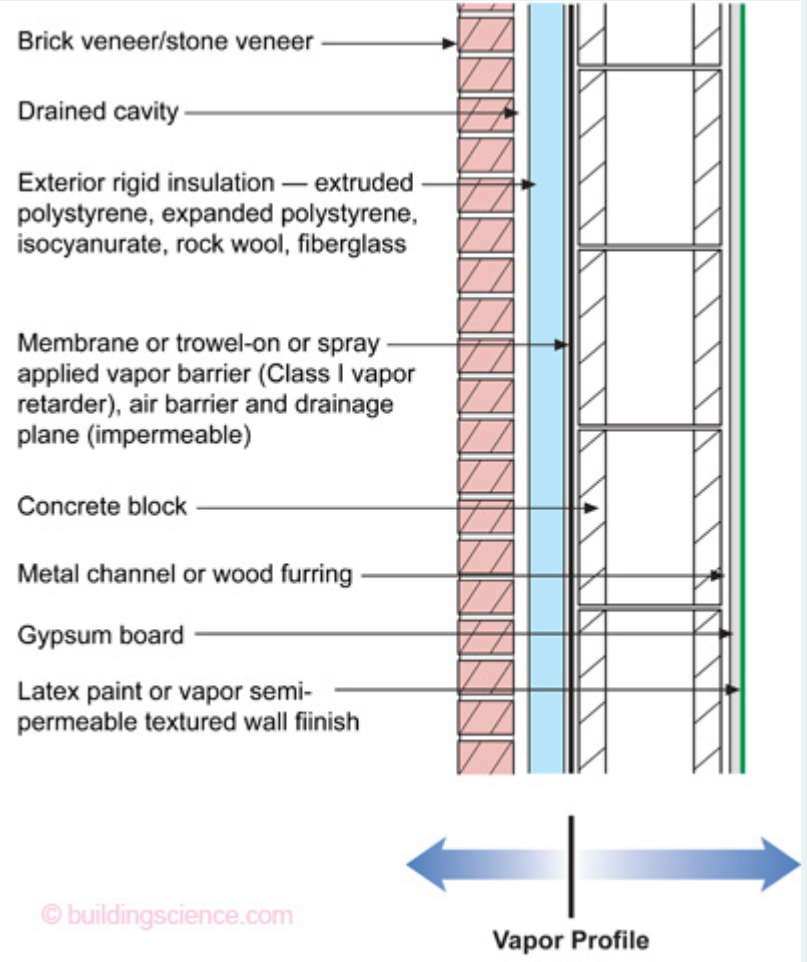

Image source: https://www.buildingscience.com/sites/default/files/migrate/jpg/Masonry_Figure_06.jpg

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C402.5.1.2

Air Barrier Compliance

- Buildings or spaces including Group R & I occupancies shall meet C402.5.2:
  Dwelling & sleeping unit enclosure testing [C402.5.2]
- Buildings or spaces other than Group R & I occupancies shall meet C402.5.3:
  Building thermal envelope testing [C402.5.3]
- No exceptions for Climate Zones 4A or 5A
- **ALL COMMERCIAL BUILDINGS IN IL MUST BE PRESSURE TESTED**

NEW!

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C402.5.1.5

Building Envelope Performance Verification

Air barrier installation verified by code official, registered design professional, or approved agency per:

- **Review of construction documents** & other supporting data
- **Inspection** of continuous air barrier components and & assemblies during construction while air barrier still accessible for inspection and repair
- **Final commissioning** report provided for inspections completed by registered design professional or approved agency
    - To building owner or owner's authorized agent and code official
    - Report to identify deficiencies found during review of construction documents and inspection and details of corrective measures taken

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C402.5.2

Dwelling & Sleeping Unit Enclosure Testing

- Measured air leakage not to exceed 0.30 cfm/sf of testing unit enclosure area @ 0.2 inch water gauge (50 Pa)
- For multiple dwelling units in single thermal envelope, test individually, and building leakage to be unit envelope area weighted average of leakage rates.
- Units tested separately with unguarded blower door test
  - Buildings w/ **fewer than 8** testing units, **test all units**
  - Buildings w/ **8 or more** testing units, the **greater of 7 units or 20% of units** shall be tested
    - Sample to include a top floor, ground floor, and unit with the largest enclosure area).
    - Each unit not in compliance requires 2 additional units to be tested

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C402.5.3

Building Thermal Envelope Testing

- Measured air leakage not to exceed 0.40 cfm/sf of thermal envelope area @ 0.3 inch water gauge (75 Pa) for whole building test.
- Alternative sampling approach for larger buildings:
  - Area-weighted average can't exceed the whole building air leakage limit
  - Required testing samples:
    - Entire envelope area of spaces directly under a roof
    - Entire envelope area of spaces with building entrance, exposed floor, loading dock, or below grade
    - 25% or more representative sample of remaining thermal envelope
- If total leakage between 0.40 cfm/sf and 0.60 cfm/sf, allowed to complete diagnostic testing and non-destructive remediation without additional testing.
  - Must submit report of corrective actions

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C402.5.11

Operable Openings Interlock

- Conditioned space with a door opening >40 sq ft to the outdoors shall be provided with controls that change HVAC settings when door is opened:
- Disable heating or lower setpoint to 55 F (or lower)
- Disable cooling or raise setpoint to 90 F (or higher)
- within 10 min of door opening

Photo Courtesy of Control By Web

Photo Courtesy of Teutopolis Event Center

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C406

Additional Efficiency Requirements

SECTION
C406.2.1: 5% heating efficiency improvement
C406.2.2: 5% cooling efficiency improvement
C406.2.3: 10% heating efficiency improvement
C406.2.4: 10% cooling efficiency improvement
C406.3: Reduced lighting power
C406.4: Enhanced digital lighting controls
C406.5: On-site renewable energy
C406.6: Dedicated outdoor air
C406.7.2: Recovered or renewable water heating
C406.7.3: Efficient fossil fuel water heater
C406.7.4: Heat pump water heater
C406.8: Enhanced envelope performance
C406.9: Reduced air infiltration
C406.10: Energy monitoring
C406.11: Fault detection and diagnostics system

- Formerly (2018), choose 1 measure
- Now (2021), collect 10 points
  (~2.5% savings)
- Point Value Tables based on Occupancy Group

IL Amendment added:

- Grid Integrated HVAC controls
- Grid integrated water heating controls

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C406.13

Grid integrated HVAC controls

- Automatically increasing zone cooling setpoint by a minimum of 4°F
- Automatically decreasing zone heating setpoint by a minimum of 4°F
- Automatically decreasing zone cooling setpoint by a minimum of 2°F
- Both ramp-up and ramp-down logic to prevent peak demand from exceeding that expected without the DR implementation

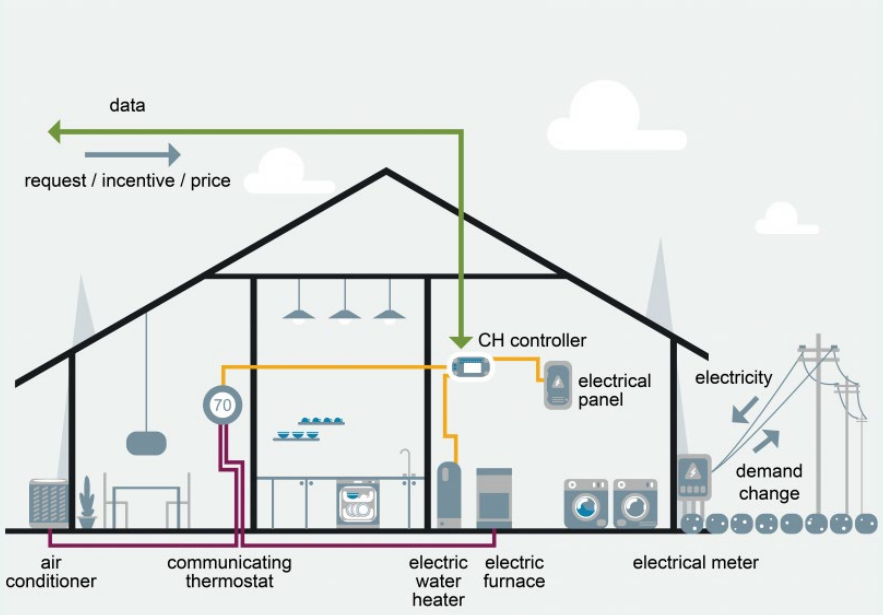

Photo Courtesy of PNNL.gov

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C406

Additional Efficiency Measures (need 10 pts)

Section – Climate Zone (Group)	4A (B)	5A (B)	4A (R & I)	5A (R & I)	4A (E)	5A (E)
5% heat improvement	NA	1	1	1	1	1
10% heat improvement	3	2	1	1	2	1
5% cooling improvement	NA	2	1	2	2	3
10% cooling improvement+	5	4	2	1	4	2
Reduced lighting power+	8	7	2	2	8	8
Enhanced lighting controls	2	2	N/A	N/A	2	2
On-site renewable energy+	9	9	7	7	6	6
Dedicated outdoor air	5	5	6	8	N/A	N/A
Recovered/renewable water heat	N/A	N/A	14	14	1	1
Efficient water heater	N/A	N/A	8	9	2	2
Heat pump water heater	N/A	N/A	5	5	1	1
Enhanced envelope performance	7	10	4	4	1	2
Reduced air infiltration	8	11	7	9	N/A	1
Energy monitoring	3	2	1	1	2	2
Fault detection and diagnostics	1	1	1	1	1	1

Commercial HVAC

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C403.2.3

FDD expanded to more systems

2018 IECC fault detection and diagnostics was only required on economizer systems

- High energy impacts if not working properly

Now, if 100,000 sf or larger facility, whole HVAC system required to have FDD system

- Permanently installed sensors monitoring HVAC performance
- Sample HVAC system performance on 15min intervals
- Automatically identify and report faults
- Automatically notify authorized personnel
- Automatically prioritize recommended repairs based on data analysis
- Transmit prioritized recommendations to remote personnel

R1 & R2 occupancies are excepted.

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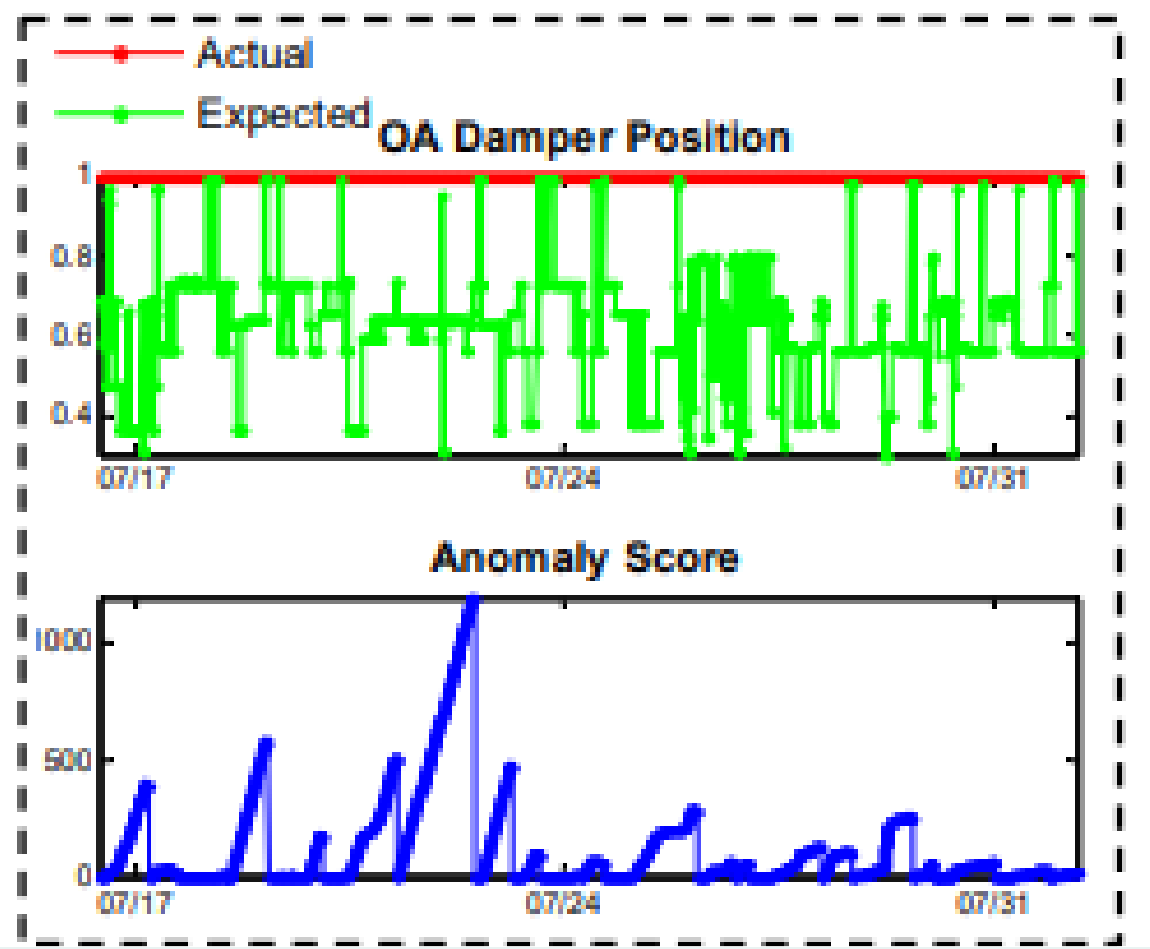
C403.2.3

Sample HVAC FDD



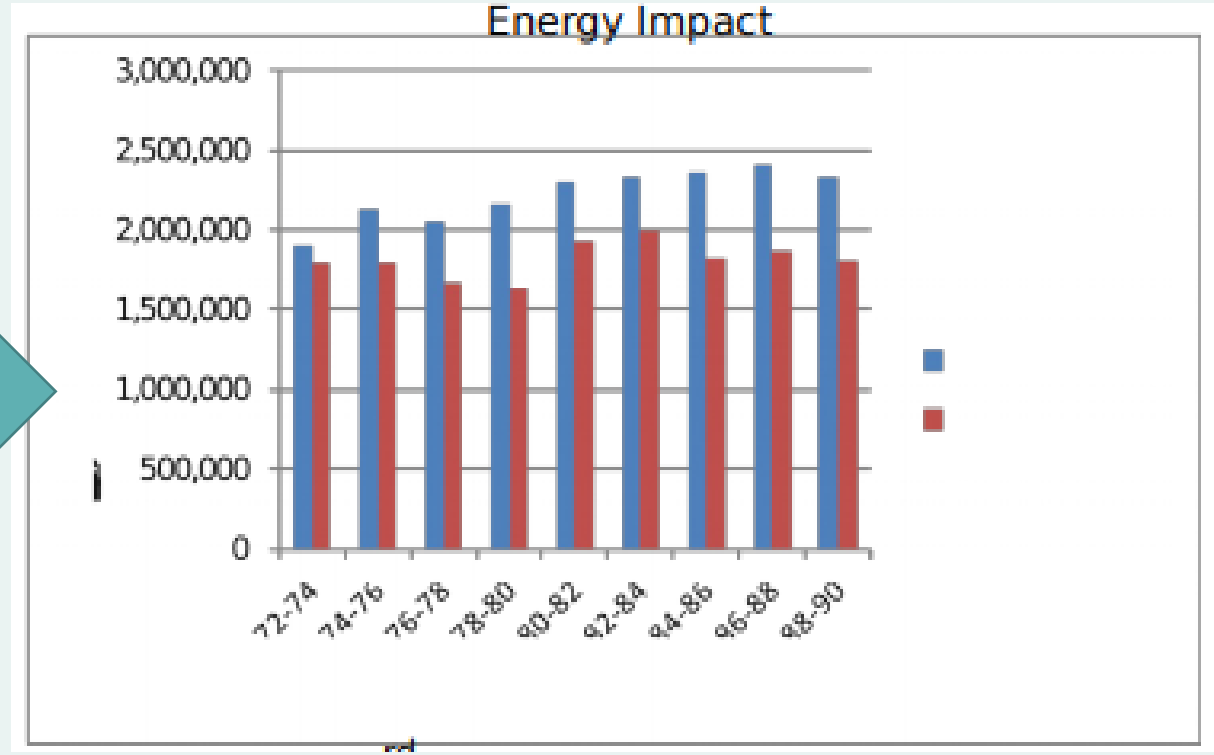


Image source: University of Texas – San Antonio presentation at Clean Air Through Energy Efficiency Conference 2013

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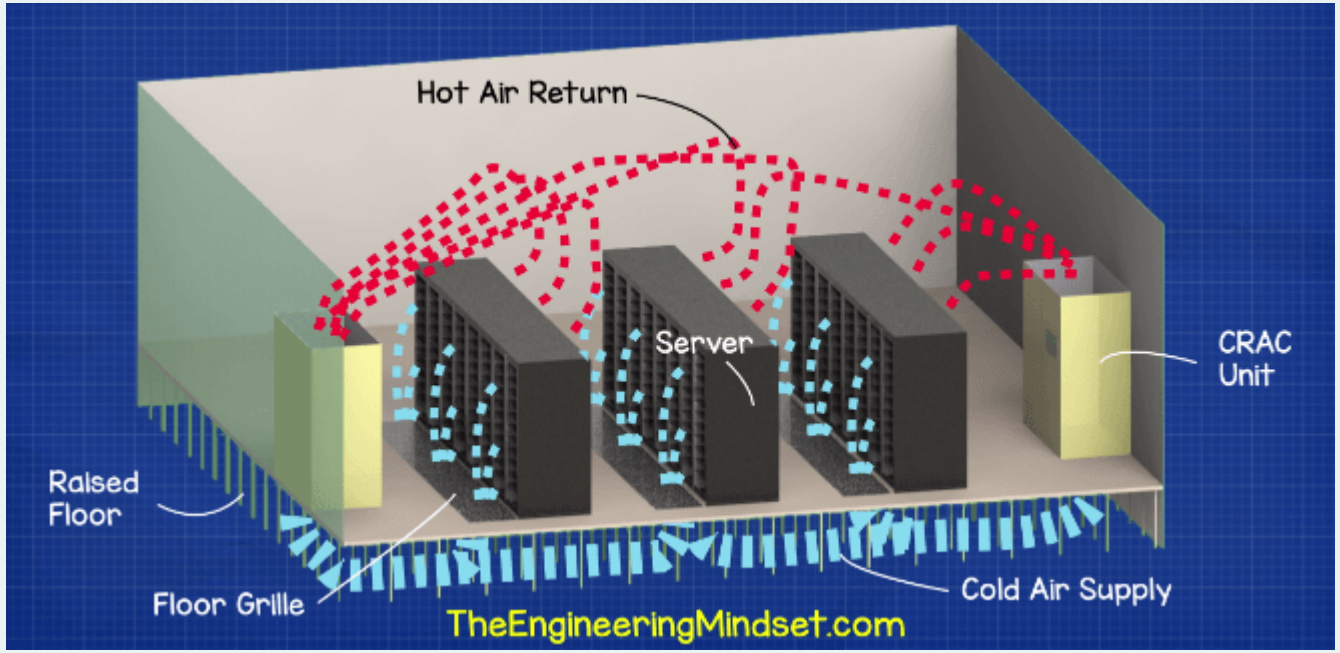
C403.3.1

Equipment Sizing

2018 IECC sizing language is maintained for most equipment

2021 IECC adds details on sizing for Data Center HVAC systems

- Must comply using modified ASHRAE 90.4 Sections 6 and 8
- HVAC component minimum efficiencies added to tables in section C403.3.2 HVAC equipment performance requirements



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C403.3.2

2021 IECC has expanded efficiency tables

New Information!

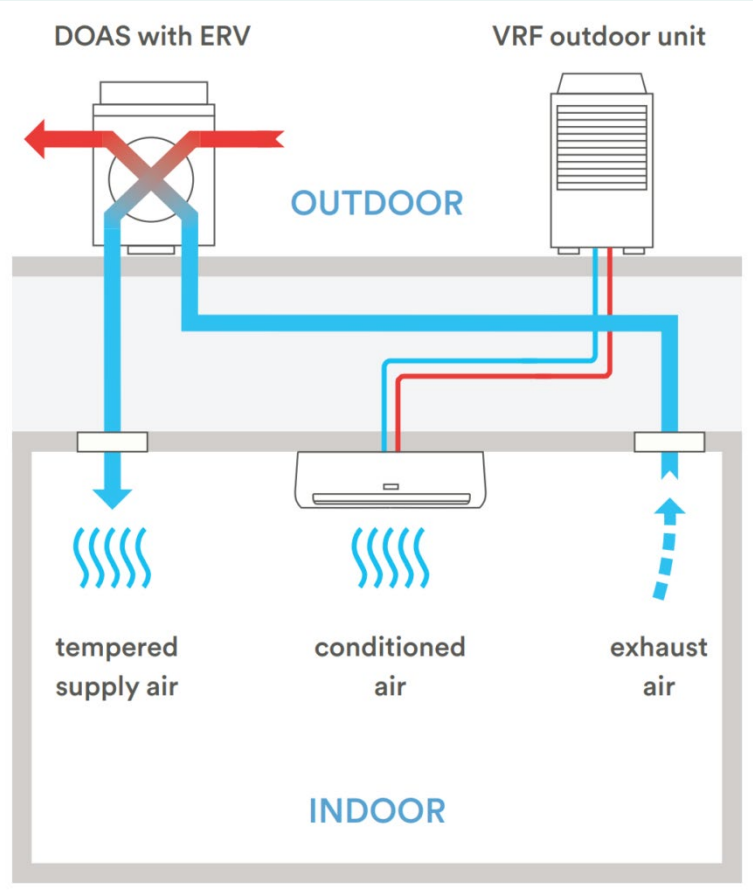
Added minimum efficiency tables for:

- VRF systems
- Indoor pool dehumidifiers
- Dedicated outdoor air systems
- Heat pump and heat recovery chillers

Clarifications

Expanded or separated out table content for:

- Computer room air conditioners and condensing units
    - Floor mounted
    - Ceiling mounted
- Water-source heat pumps



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C403.3.2

1/1/2023 Increased Efficiency

Unitary Air Conditioners or Heat Pumps

- Increased efficiency and/or new testing standard (SEER to SEER2)

Gas fired warm air furnaces

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C403.4.1.1

Supplemental Heat Prevention

- Heat pumps w/ supplemental electric resistance elements shall limit use only to times when one of the following apply:
  - Vapor compression cannot provide adequate heat
  - Heat pump is in defrost mode
  - Vapor compression malfunctions
  - Thermostat malfunctions

2018 only allowed when heat pump compressor could not meet heating load.

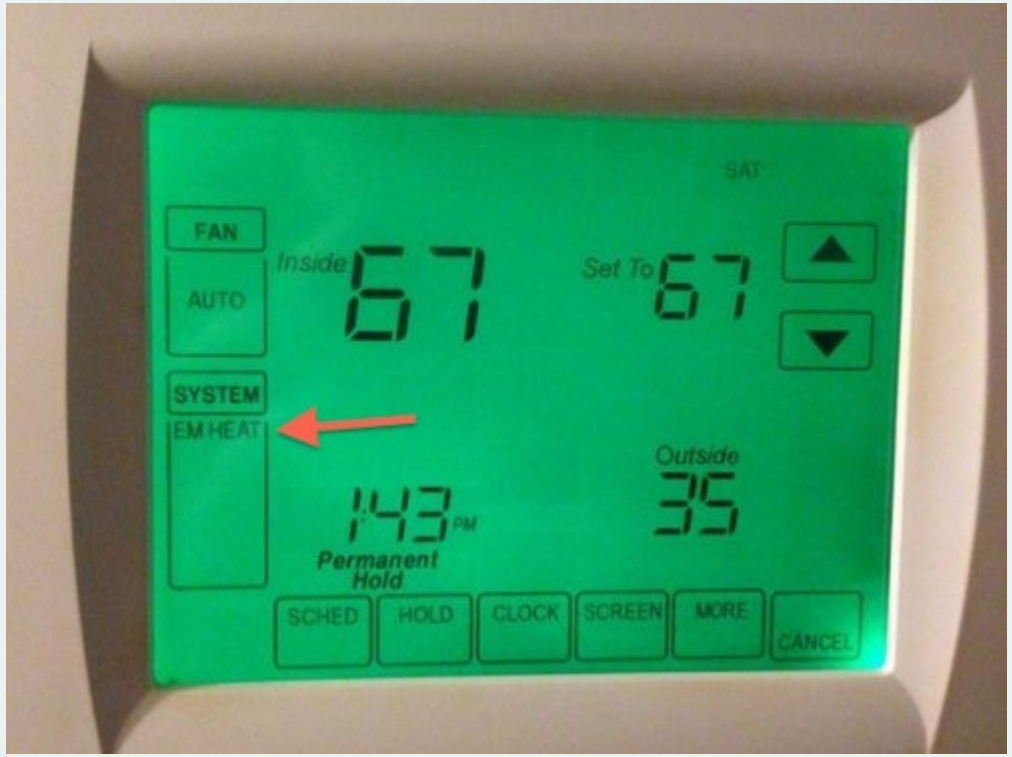

Image source: energyvanguard.com

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C403.4.3.3.3

Heat Pump Isolation

- Water source heat pump loops 10kW and larger require 2 position automatic valves interlocked with compressor

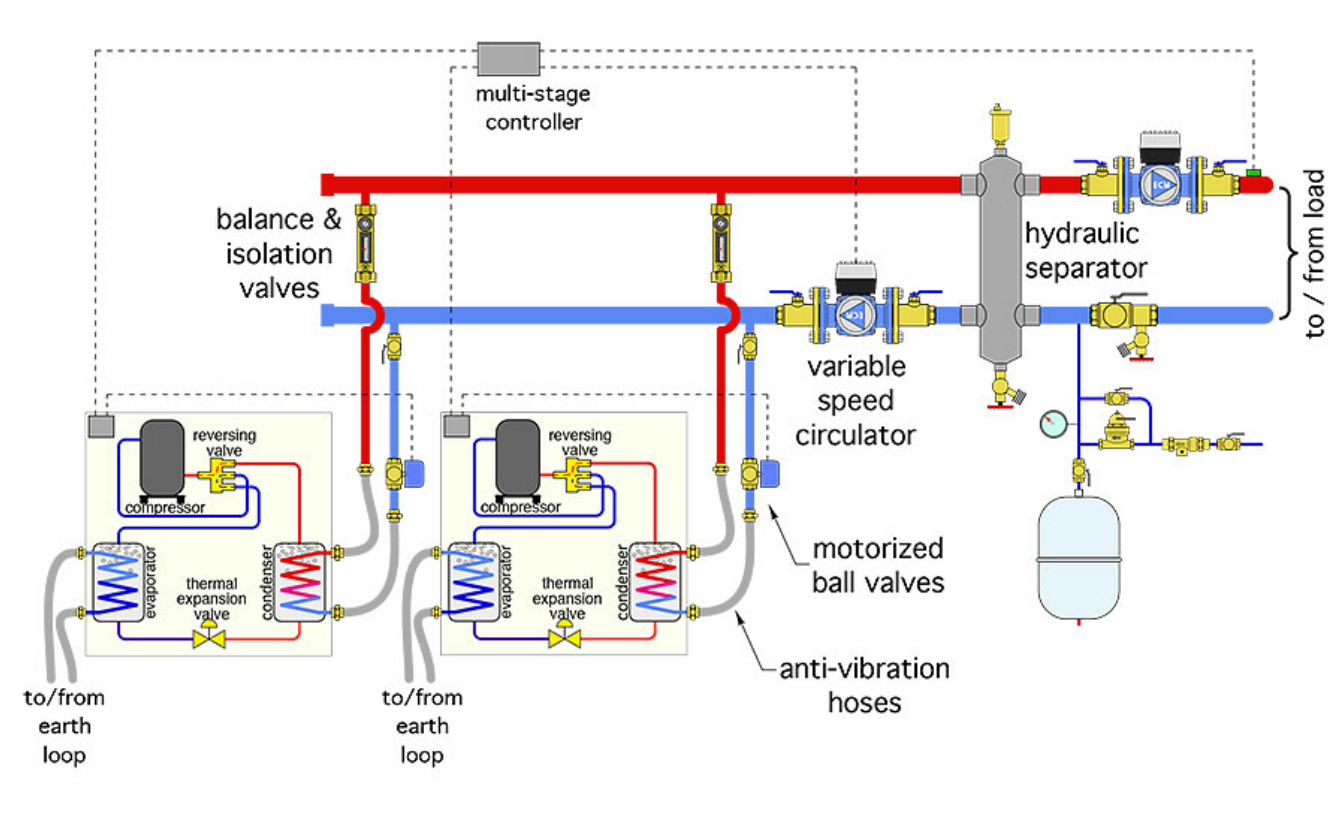

Image source: John Siegenthaler, Pmmag.com

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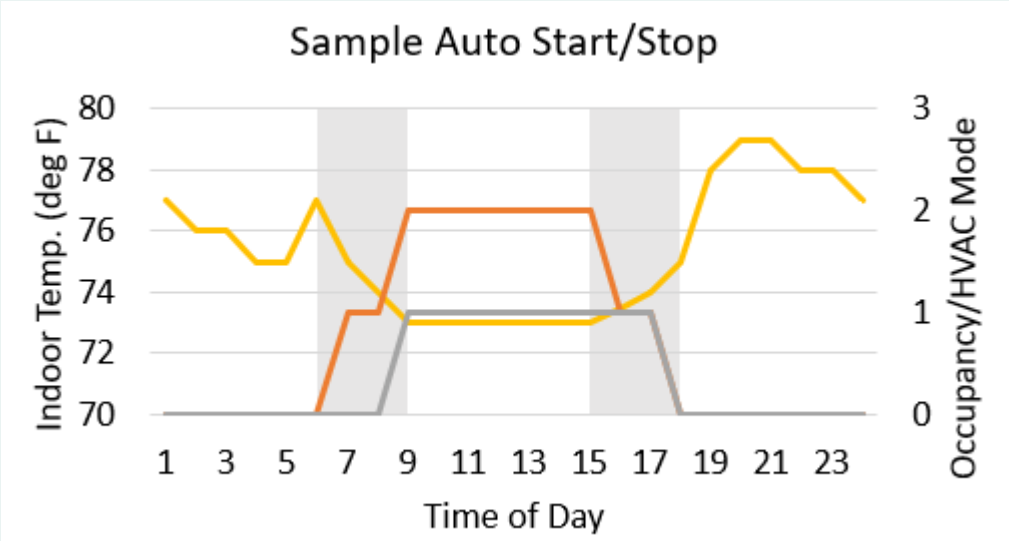
C403.4.2.3

Automatic Start/Stop

- Automatic **START and STOP**
  - Auto-start was 2018 requirement
    - Weather-adjusted HVAC start so building is at comfort conditions by time of occupancy
  - Auto-stop **added** for 2021
    - Not same as auto-off/set-back!
    - Sets back thermostats 2 degrees before scheduled unoccupancy to allow slow drift before occupants leave.



0 – HVAC set-back
1 – HVAC Start/Stop Coast
2 – HVAC Occupied
Indoor Air Temp.
HVAC Mode
Occupancy Schedule

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Economizers

- C405.3 Exceptions added for VRF systems
    7. Economizers not required for VRF systems with a DOAS

Frequent question last year as code did not explicitly address VRF+DOAS systems.

Previously, economizer might have been required for VRF-DOAS due to more vague language

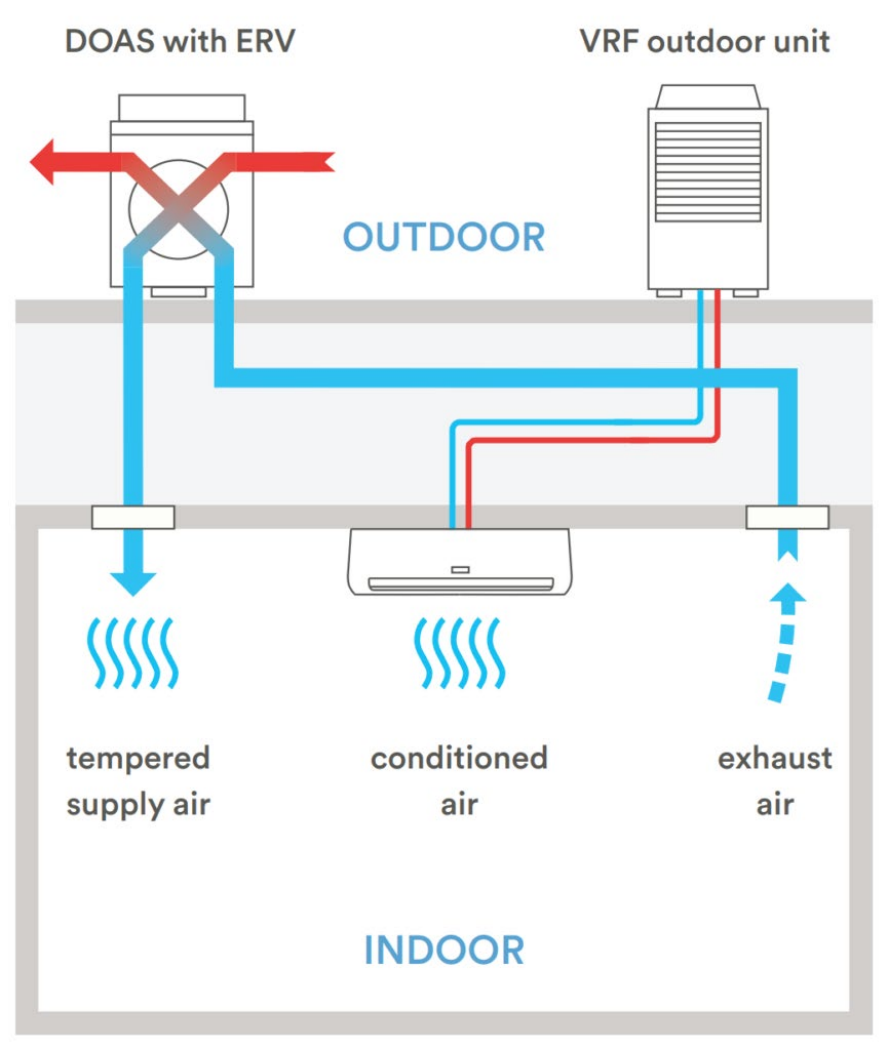

Image source: be-exchange.com

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C403.5.5

Economizer Fault Detection

- Monitor supply, return, and outside air temperatures
- Provide status on key system operations
- Report air temperature sensor faults, improper economizing, damper malfunctions, & excess OA flow.

Failed economizers can dramatically increase energy consumption for heating/cooling. FDD is key to maintaining performance

Image source: Honeywell

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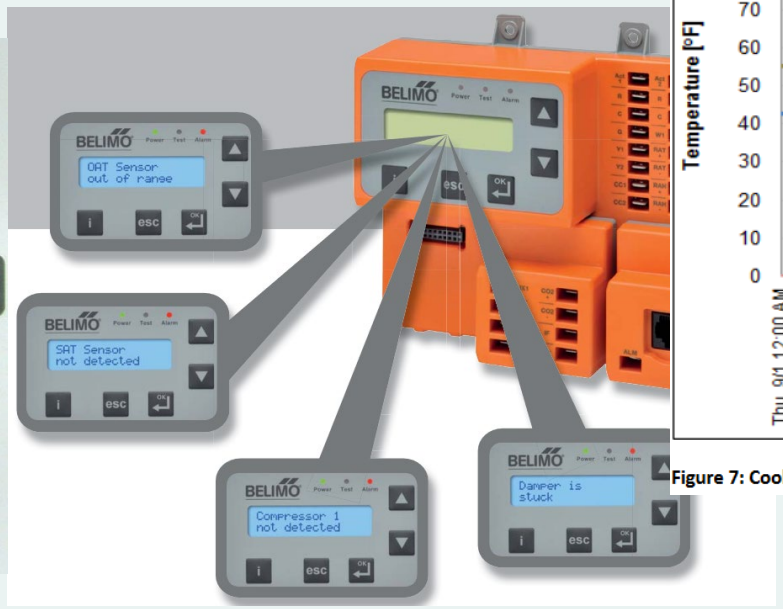
C403.5.5

Example Economizer Trend Analysis

Common economizer controller for RTUs bottom left.

- Common errors include incorrect settings on controller, which is hard to read.
- Modern controllers have LCDs showing settings/set points to reduce setting errors in programming (center).
- Typically errors not found until RCx reviews trend logs
- FDD moves alerts to central station



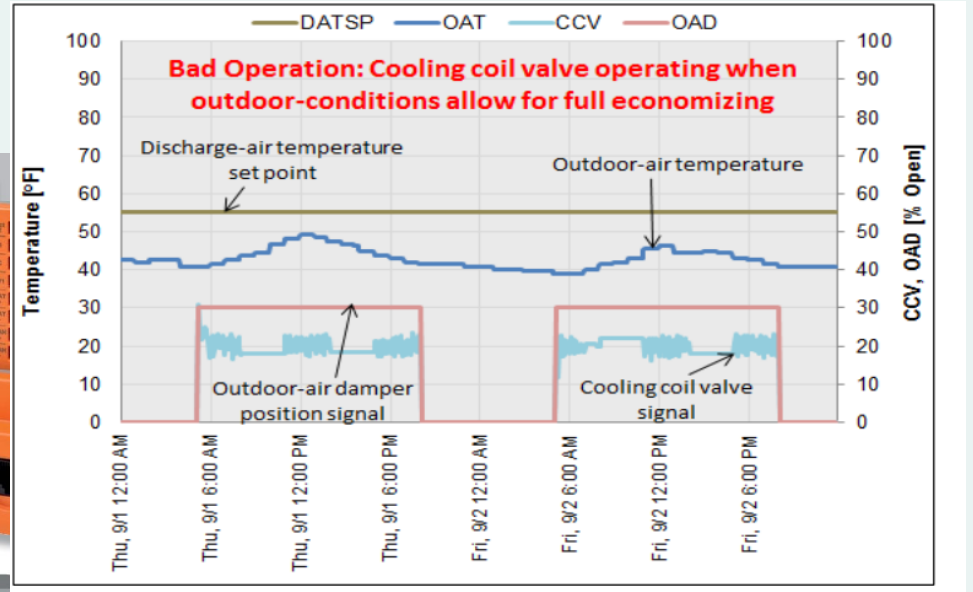


Figure 7: Cooling coil valve opening when outdoor conditions allow for full economizing, wasting cooling energy.

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C403.7.1

Demand Control Ventilation

2018 required DCV for spaces greater than 500sf with average occupant density of **25 ppl/1,000sf** or more.

2021 IECC updated to require for occupant density of **15ppl/1,000sf or more**

All other requirements unchanged

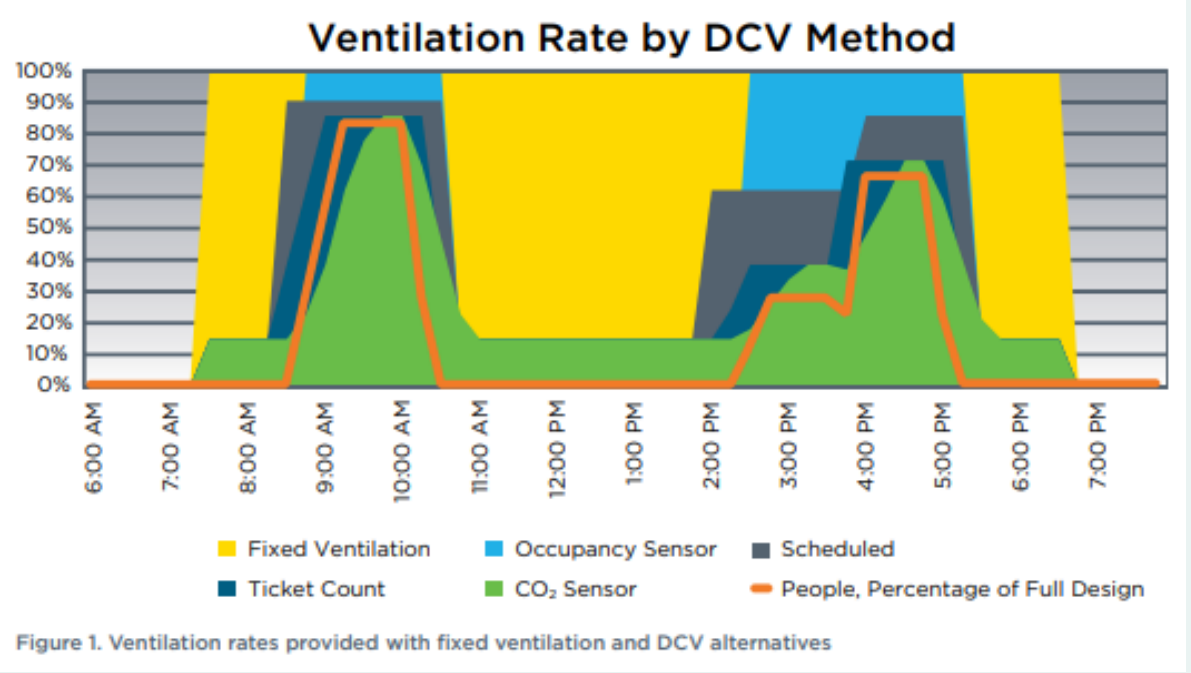

Figure 1. Ventilation rates provided with fixed ventilation and DCV alternatives

Image Source: Energycodes.gov

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C403.7.1

Exceptions also updated

- Exceptions:

1. Systems with energy recovery complying with C403.7.4.2
2. Multiple-zone systems without direct digital control of individual zones communicating with a central control panel
3. Systems with a design outdoor airflow less than **750 cfm**
4. **Spaces where more than 75% of space outdoor air is required for exhaust or transfer air**
5. **Correctional cells, education laboratories, barber, beauty and nail salons, and bowling alley seating areas.**

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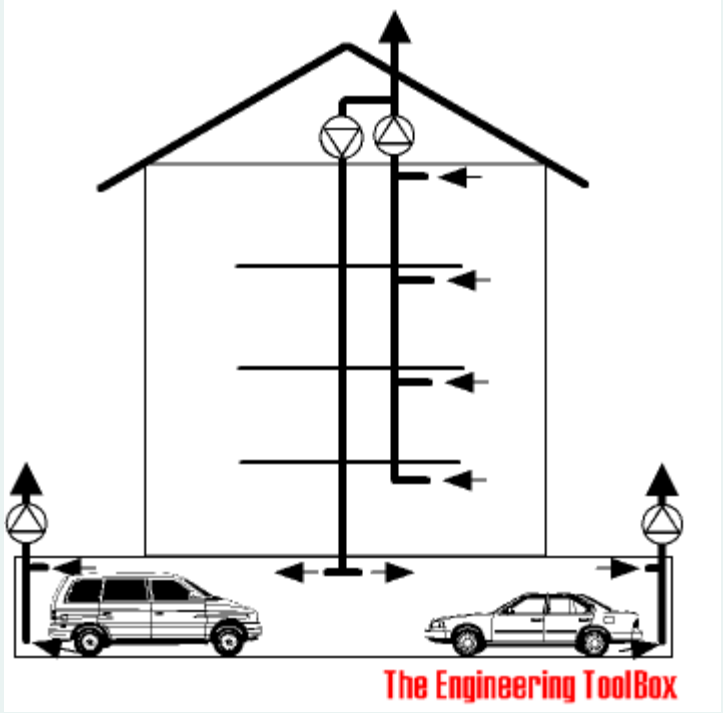
C403.7.2

Enclosed Parking Garage Ventilation

Exceptions for parking garage ventilation have been made more stringent

- 2018 exception allowed for garage with total exhaust capacity of **22,500 cfm or less** that does not use mechanical heating.
- 2021 updates to **8,000 cfm or less**



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C403.7.4.1 & C403.7.42

Dwelling vs non-dwelling requirements

C403.7.4.1 Non-transient Dwelling Units

- Enthalpy recovery of 50% cooling design and 60% heating design
- The cooling enthalpy recovery ratio is excepted for Climate Zones 4 & 5
    - Best performance on cooling side with heating meeting 60% enthalpy recovery ratio.

C403.7.4.2 All Other Spaces

- Tables unchanged from 2018 IECC
- Exceptions have updates, but not applicable to IL Climate Zones.

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Table C403.7.4.2

Airflow requirements same as 2018 IECC

Tables C403.7.4.2 (1) & (2) for CZ 4A and 5A summary

Operating Hours	Outdoor Air @ Full Design Flow Rate							
	10%-20%	20%-30%	30%-40%	40%-50%	50%-60%	60%-70%	70%-80%	80%+
<8,000hr/yr	26,000+	16,000+	5,500+	4,500+	3,500+	2,000+	1,000+	120+
8,000+hr/yr	200+	130+	100+	80+	70+	60+	50+	40+

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C403.7.6

Guestroom HVAC Controls

- For buildings with >50 guest rooms
  1. Rented but unoccupied
     - Adjust setpoint by at least 4F within 30 min of occupants leaving
  2. Unrented and unoccupied
     - Adjust setpoints to 80F and 60F within:
       - 16 hours without continuous occupancy
       - **20 minutes** after unoccupancy is indicated by networked guestroom control
  3. When occupied, return to normal setpoints when occupancy sensed

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C403.8.3

Fan Efficiency

Now Uses Fan Energy Index

1.00 or higher

VAV fans can have FEI of 0.95

Exclusions for smaller fans/arrays, ceiling fans, high temperature fans, fans used in explosive atmosphere, and emergency fans

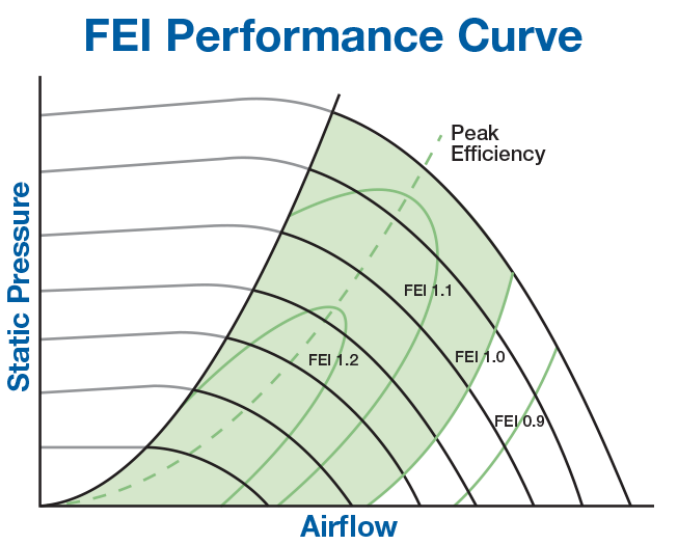

Photo Courtesy of Greenheck

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C403.8.5

Low-capacity ventilation fan efficacy

- For mechanical ventilation system fans less than 1/12 hp
  - Excludes ventilation fans as a component of a listed heating or cooling appliance
  - Dryer exhaust & range hoods that operate intermittently

Fan Location	Airflow Rate (CFM)	Minimum Efficacy (CFM/watt)
HRV or ERV	Any	1.2
In-line Fan	Any	3.8
Bathroom, utility room	10 to <90	2.8
Bathroom, utility room	90+	3.5

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Commercial Lighting

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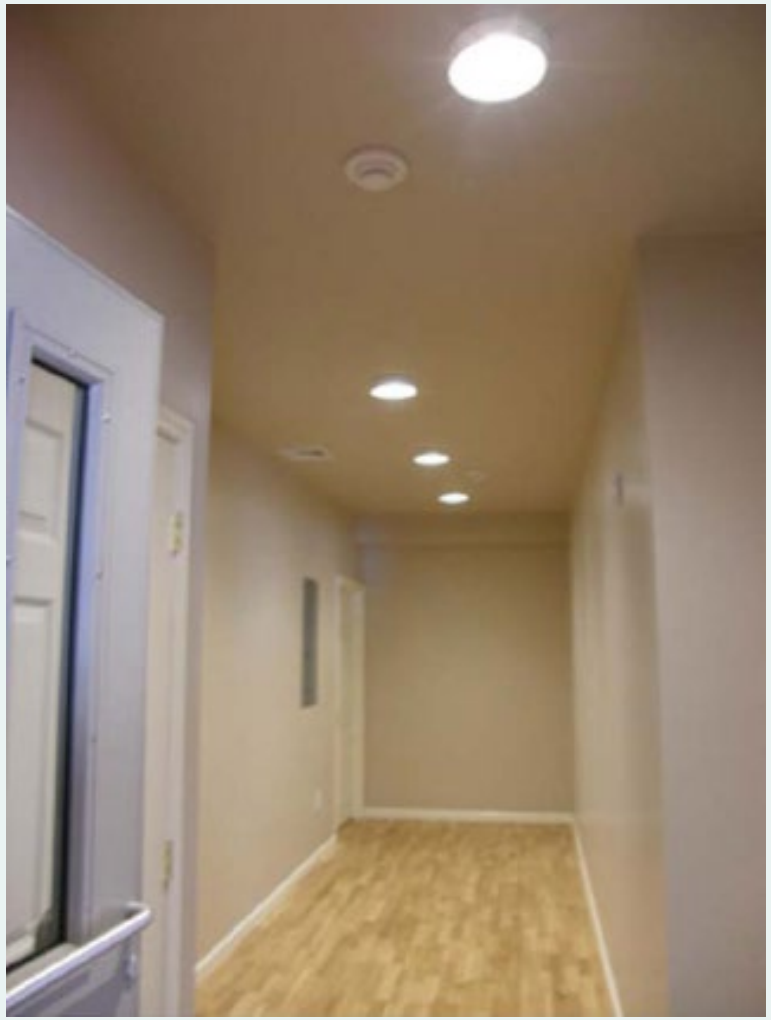
C405.1.1

Dwelling Lighting Equipment (Mandatory)

- Not less than 90% of the permanently installed fixtures shall contain only high-efficacy lamps

- **Different from Residential Building Provision**

NEW!



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C405.2.1

Occupant sensing controls are required in

1. Classrooms/lecture/training rooms
2. Conference/meeting/multi-purpose rooms
3. Copy/print rooms
4. Lounges/breakrooms
5. Enclosed offices
6. Open plan office areas
7. Restrooms
8. Storage rooms
9. Locker rooms
10. **Corridors**
11. Other spaces 300 sf or less enclose by floor-to-ceiling height partitions
12. Warehouse storage areas

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C405.2.1.2

Occupant Sensor Control Function in *Warehouse*

- Must reduce lighting power by at least 50% when unoccupied.
- Controls must cover aisleways and open areas.
- Control for each aisleway shall be independent and shall not control beyond the aisleway.

Image from http://luxreview.com

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C405.2.1.3

Occupant Sensor Control in *Open Plan Office* (≥ 300 sf)

1. Zones limited to 600 sf
2. Must reduce lighting power by at least 80% in a reasonably uniform pattern within 20 minutes after no occupancy
3. Turn off general lights in all zones within 20 minutes of occupants leaving
4. Daylight responsive controls may activate fixtures only if occupants present

Image from https://www.focalpointlights.com

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C405.2.1.4

Occupant Sensor Control Function in *Corridors*

1. Must reduce lighting power by at least 50% in a reasonably uniform pattern within 20 minutes after no occupancy

Exception for corridors with less than 2 fc on floor at darkest point with all lights on.

NEW!

Image from https://cltc.ucdavis.edu/adaptive-corridors

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C405.2.1.1

Occupant Sensor Control Function in *Other Areas*

1. Auto-off within 20 minutes of occupants leaving.
2. Manual-on or can be auto-on if not more than 50% power.
3. Shall incorporate manual control to allow occupants to turn lights off.
    a. Exception: Full auto-on **without manual control** permitted where manual operation would endanger the safety or security.

Image from https://lightingcontrolsassociation.org

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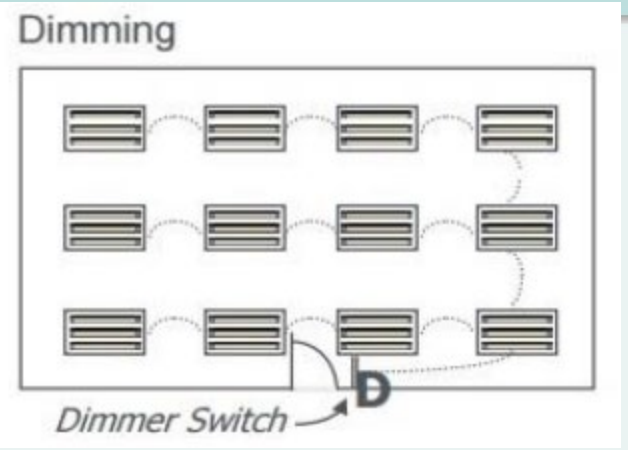
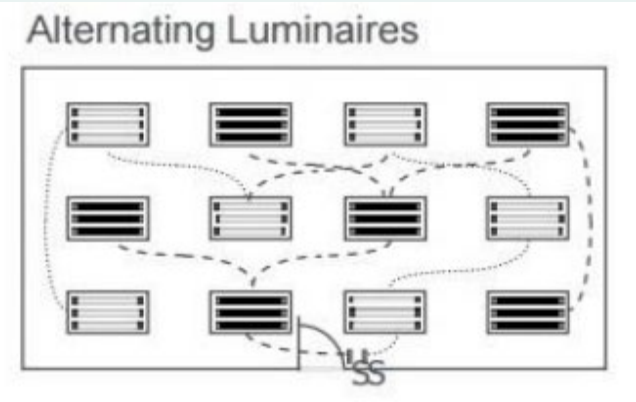
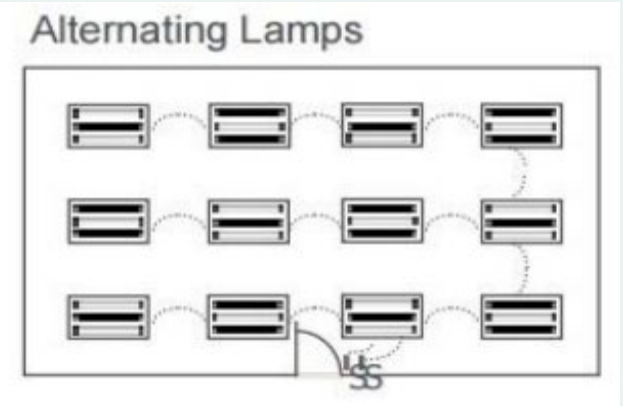
C405.2.3

Light-reduction Controls

1. Manual control to uniformly reduce lighting by at least 50%. **Include an intermediate step between 70% and 30% power or with continuous dimming control (min of 20% or less)**
    1. Control all lamps/luminaires
    2. Switching alternate rows or luminaires
    3. Switching inner/outer lamps
    4. Switching each lamp/luminaire
2. Exceptions for:
    1. Spaces with daylight responsive or special application controls
    2. Manually-controlled spaces with:
        1. Spaces with 1 luminaire rated less than 60 watts
        2. Spaces <0.45 watts/SF
        3. Corridors, lobbies, electrical / mechanical rooms







C403.5.5

Exception

Connected lighting power < Adjusted lighting power budget

Adjusted lighting power budget
= Normal lighting power budget * (1.0- [0.4* weighted avg of SF in daylit zone])

If below this threshold, no daylight controls required

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C405.2.4

Daylight Controls

Example Office 1:

200,000 sf total area
100,000 sf daylit zones
LPD: 0.79 W/sf
LPA: 158,000 W

LPA adj
= 158,000 W x (1.0 – 0.4x100,000/200,000)
= 158,000 W x 0.8
= 126,400 W (20% less)

Example Office 2:

200,000 sf total area
50,000 sf daylit zones
LPD: 0.79 W/sf
LPA: 158,000 W

LPA adj
= 158,000 W x (1.0 – 0.4x50,000/200,000)
= 158,000 W x 0.9
= 142,200 W (10% less)

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C405.2.4

Daylight Controls

Required in the following spaces:

1. >150 W of general lighting in primary sidelit zone
2. >300 W of general lighting in primary & secondary sidelit zone
3. >150 W of general lighting in toplit zone

Exceptions:

Health care facilities where patient care is directly provided

Lighting required for specific application control per C405.2.4

Sidelit zones on 1st floor above grade in Group A-2 (assembly uses for food/drink) and Group M (mercantile) occupancies

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C405.2.4.1

Daylight Control Functions

1. Toplit and sidelit separately controlled (150W overlap allowed)
2. Primary daylit zones controlled independently from secondary zones
3. Must be able to be calibrated within the space
4. Calibration mechanism must be readily accessible
5. Must dim continuously down to at least 15%
6. Must be able to turn lighting completely off
7. Cannot brighten lights beyond unoccupied setpoint set by occupant sensing controls
8. Sidelit zones of different cardinal directions controlled independently

   Exception: < 150 W in each space can be controlled together.

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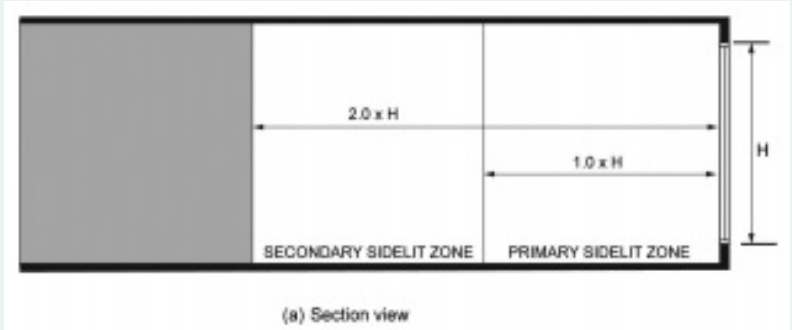
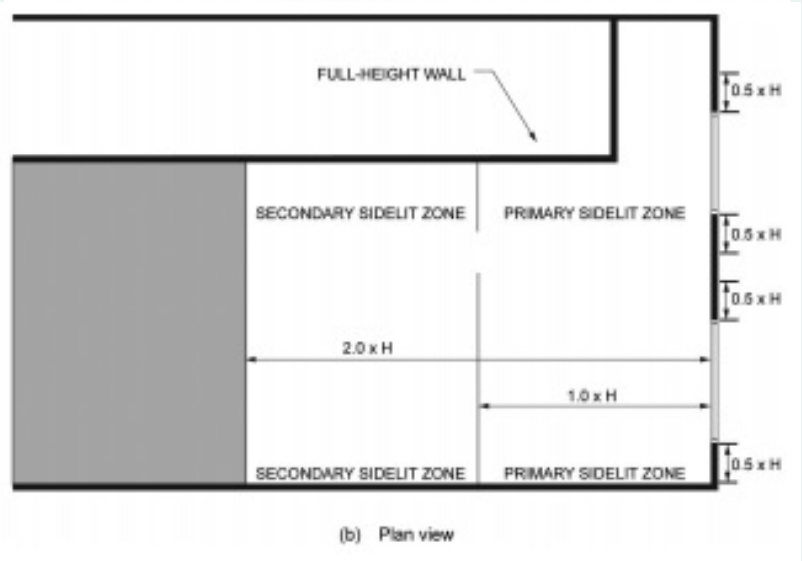
C405.2.4.2

Sidelit Zones

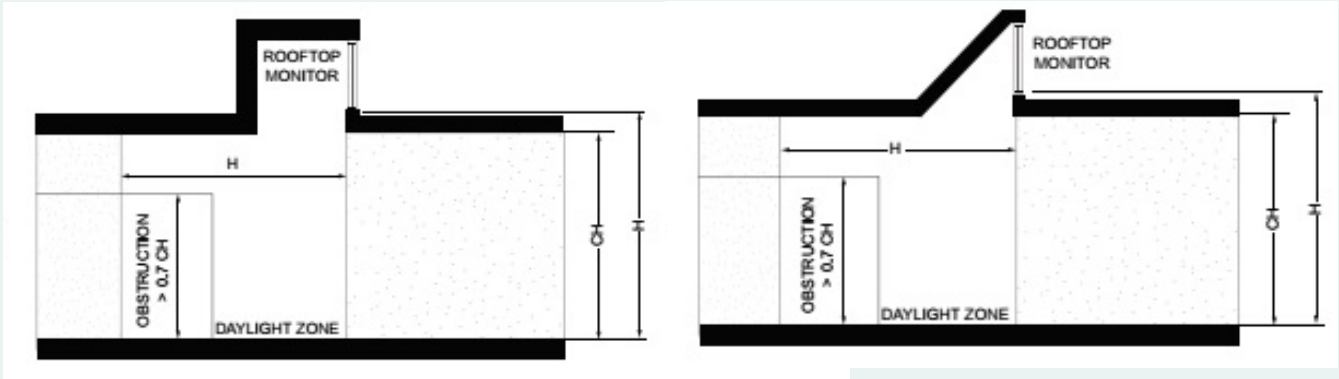
- Floor area adjacent to vertical fenestration
- Area of fenestration ≥ 24 sf
- Visible Transmittance ≥ 0.20

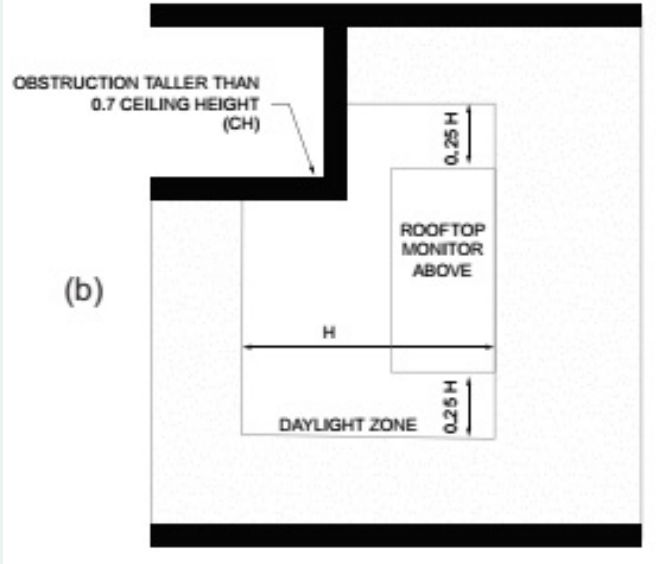


(a) Section view



(b) Plan view





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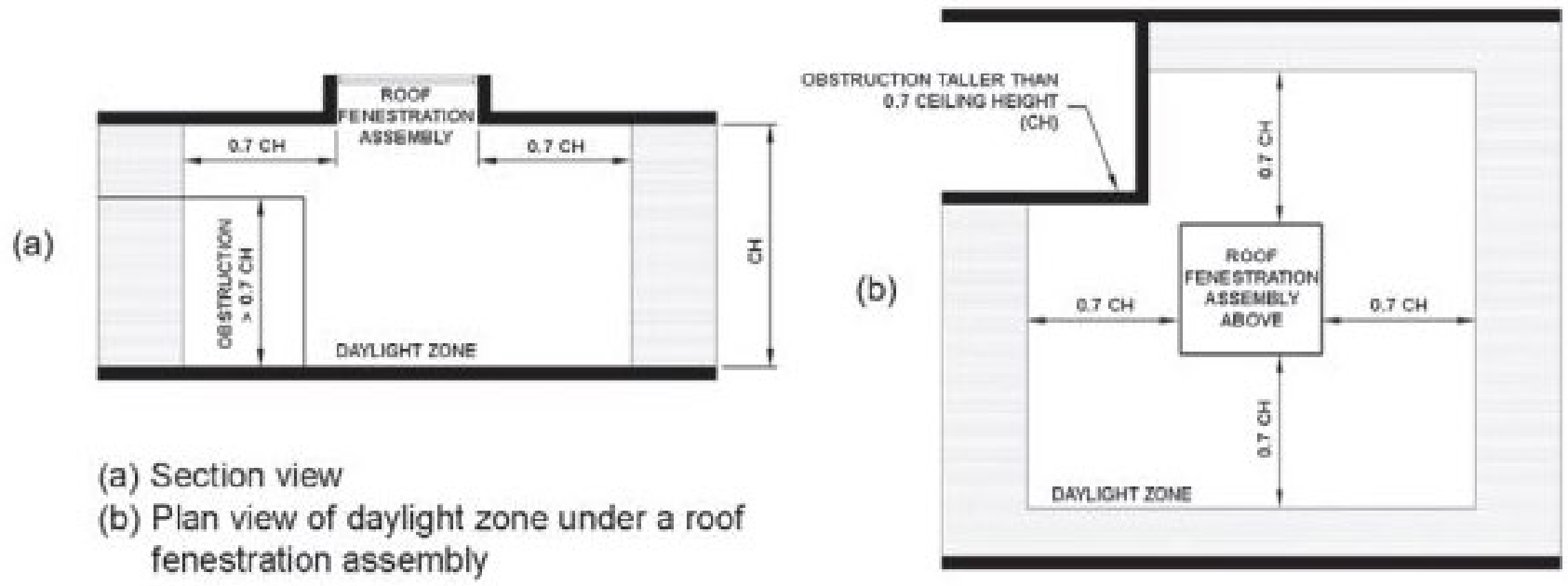
C405.2.4.3

Toplit Zones

- Floor area underneath a roof fenestration
- No buildings block direct sunlight hitting the fenestration at the peak solar angle
- (VT x area of roof opening) / toplit zone area ≥ 0.008



(a) Section view
(b) Plan view of daylight zone under a roof fenestration assembly

FIGURE C405.2.3.3(1)
TOPLIT ZONE

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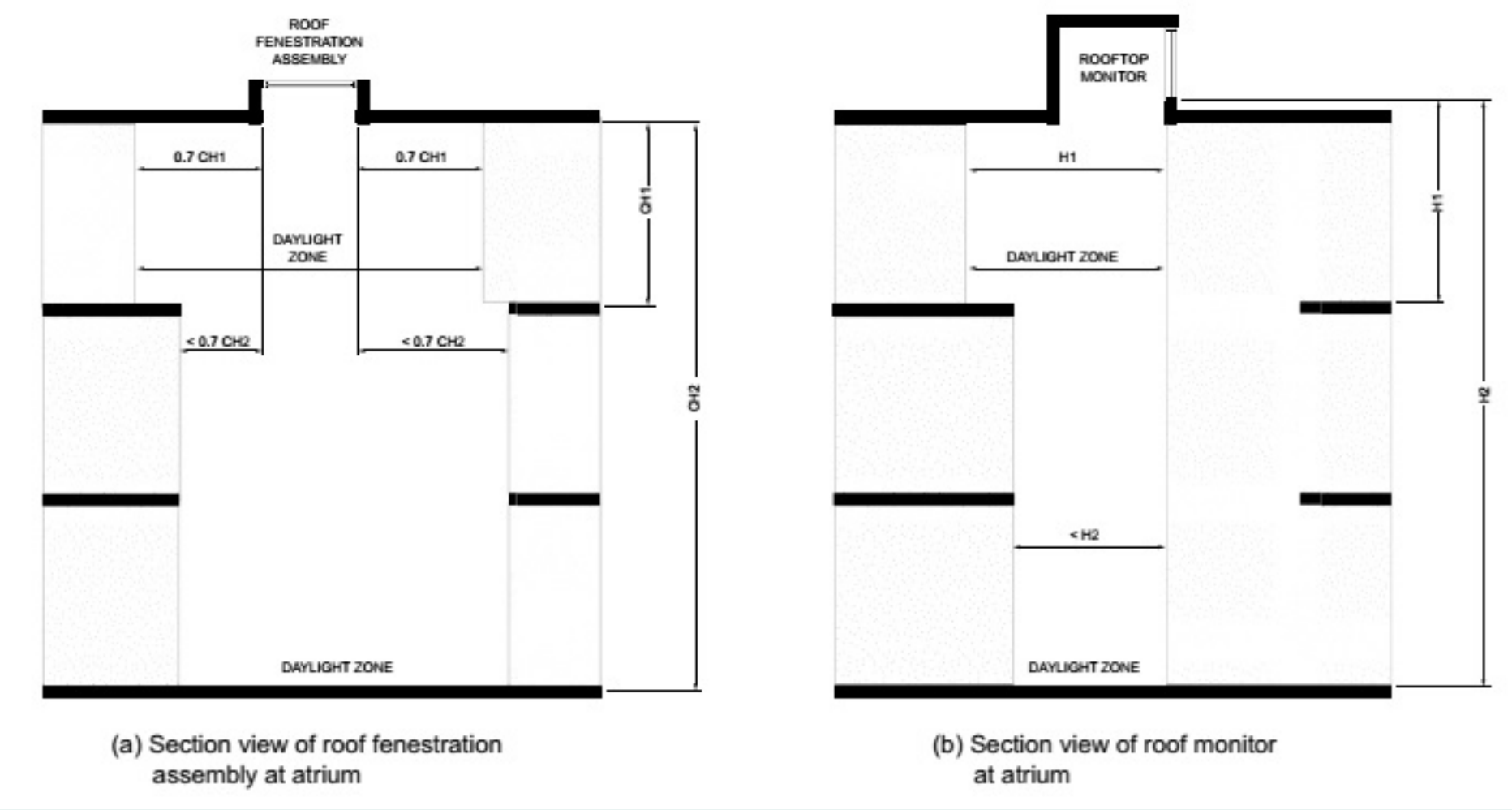
C405.2.4.4

Atriums

- Daylight zones established on top floor and atrium floor, but no intermediate floors as shown.



(a) Section view of roof fenestration assembly at atrium

(b) Section view of roof monitor at atrium

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C405.2.7

Exterior Lighting Controls

Façade & Landscape Lighting

C405.2.7 Exterior Lighting Control

Exterior Lighting	**Decorative Lighting**
C405.2.7.1	C405.2.7.1
	C405.2.7.2
C405.2.7.3	
C405.2.7.4	C405.2.7.4

C405.2.7.1:
Daylight Shutoff

C405.2.7.2:
Decorative Lighting Shutoff

C405.2.7.3:
Lighting Setback

C405.2.7.4:
Time-switch Function

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C405.2.7

Exterior Lighting Controls

- Daylight Shutoff
- Façade and Landscape Lighting
  - Automatically shutoff ≤ 1 hr after business closing to ≤ 1 hr before opening
- Lighting Setback
  - Total wattage reduced by ≥ 50% by switching or dimming during one of the following:
    - From not later than midnight to not earlier than 6 am
    - From ≤ 1 hour after business closing to ≤ 1 hour before opening
    - During any time where activity has not been detected for ≥ 15 min
  - Luminaires >78W and 24ft or less above ground requires occupant sensing
- Time-switch Control

  Same as interior time-switch

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C405.2.8

Parking Garage Lighting Controls

- Daylight responsive controls w/i 20 feet of perimeter wall openings of at least 50%
- Occupant sensing reduce at least 30% within 20 minutes of inactivity excluding zones provided with less than 1.5 fc on the floor at the darkest point with all lights on
- Eye adaption zones to be controlled separately, reduce power by at least 50% sunset to sunrise

NEW!

Image source: energy.gov

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C405.3.1

Total Connected Interior Lighting Power

- Lamp wattage label for line voltage lamps
- Ballast/transformer input wattage
- LED driver input wattage
- Track lighting (connected wattage, transformer wattage, or 8W/ft, whichever is greater)

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C405.3.2

Interior Lighting Power Allowance

- Building Area Method
- Space by Space Method w/ additional allowances for special use lighting

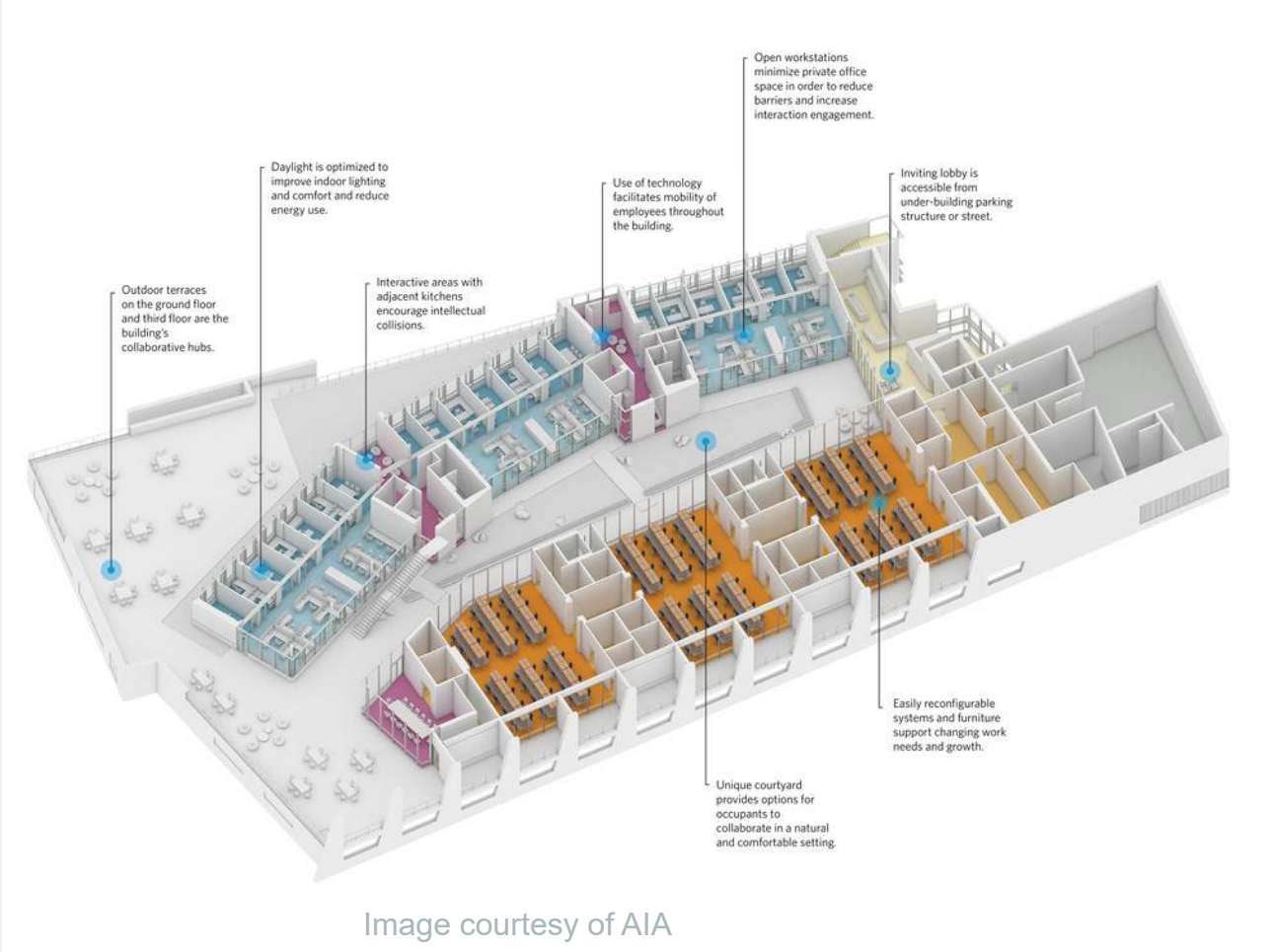

Image courtesy of AIA

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Table C405.3.2 (1)

Interior LP Allowances: Bldg Area Method

Bldg Area Type	2018 IECC (W/SF)	2021 IECC (W/SF)	% Improvement
Automotive facility	0.71	**0.75**	**-6%**
Convention Center	0.76	0.64	16%
Courthouse	0.90	0.79	12%
Dining: Bar lounge/leisure	0.90	0.80	11%
Dining: cafeteria/fast food	0.79	0.76	4%
Library	0.78	**0.83**	**-6%**
Dormitory	0.61	0.53	13%
Workshop (highest category)	0.90	**0.91**	**-1%**

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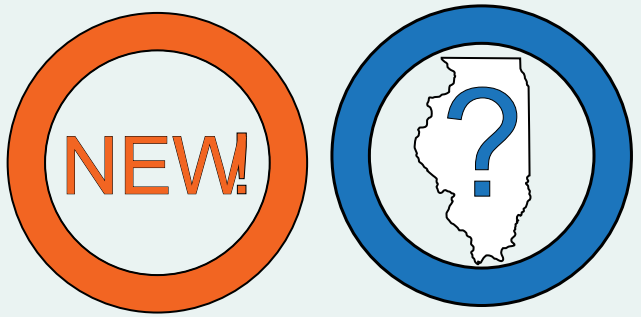
C405.4

Lighting for Plant Growth

- All permanent installed luminaires shall have photon efficiency of not less than 1.7µmol/J per ANSI/ASABE S640 for greenhouses and 2.2 µmol/J for all other indoor growing spaces
- Exceptions for buildings with no more than 40k of aggregate horticultural lighting load and Cannabis facilities subject to 410 ILCS 705/10-45

Image courtesy of DOE



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C405.5.2

Exterior Lighting Power Allowance

Table C405.4.2(1) partial

Exterior Allowance	Zone 1	Zone 2	Zone 3	Zone 4
Base allowance	350	400	500	900 W
Parking/drives	0.03	0.04	0.06	0.08 W / sf
Walkways <10’ wide	0.5	0.5	0.6	0.7 W / lf
Walkways, other	0.1	0.1	0.11	0.14 W / sf
Landscaping	0.03	0.04	0.04	0.04 W / sf
Entry canopies	0.2	0.25	0.4	0.4 W / sf

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C405.11

Automatic Receptacle Control

- At least 50% of receptacles in all enclosed offices, conference rooms, rooms used primarily for print and/or copy functions, break rooms, classrooms, and individual workstations.
- At least 25% of *branch circuit* feeders installed for modular furniture not shown on the *construction documents.*

Time of day basis, occupant, or control signal based

NEW!

Image by Leviton

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C405.12

Monitoring

Measurement devices shall be in ed in new *buildings* to monitor the electrical gy use for:

a. HVAC systems
b. Interior lighting
c. Exterior lighting
d. Receptacle circuits
e. Large process loads
f. Building operations/Misc

NEW!

Residential Definitions

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Demand Recirculation Systems

2018 IECC:
"Demand Recirculation Water System: A water distribution system having one or more recirculation pumps that pump water from a heated water supply pipe back to the heated water source *through a cold water supply pipe*"

2021 IECC:
"Demand Recirculation Water System: A water distribution system where one or more pumps prime the service hot water piping with heated water upon demand for hot water."

Refer to **R403.5.1.1.1** for Demand Recirculation Water System Requirements.

Renewable Energy

New Definitions:

"**On-site Renewable Energy:** Energy from renewable energy sources harvested at the building site."

"**Renewable Energy Certificate (REC):** An Instrument that represents the environmental attributes of on megawatt hour of renewable energy; also known as an energy attribute certificate (EAC)."

"**Renewable Energy Resources:** Energy derived from solar radiation, wind, waves, tides, landfill gas, biogas, biomass or extracted from hot fluid or steam heated within the earth."

Roof Re-cover

2018 IECC:

“Roof Re-cover: The process of installing an additional roof covering over a *prepared* existing roof covering without removing the existing roof covering.”

2021 IECC:

“Roof Re-cover: The process of installing an additional roof covering over an existing roof covering without removing the existing roof covering.”

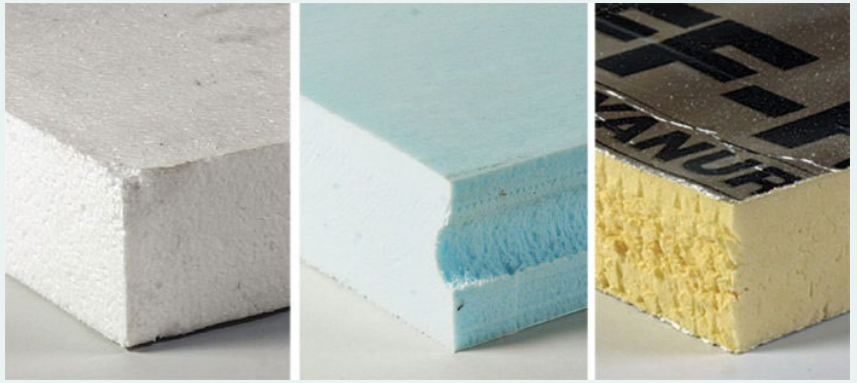
R303.1.5

Air-impermeable insulation

R303.1.5 is a new section for 2021 giving a precise definition for materials considered ‘air-impermeable insulation’ which must meet the requirement below.

- Insulation having air permeability not greater than 0.004 cfm/sf at 0.3 inch water gauge (75 pascals)
- Must be tested in accordance with ASTM E2178

Permeable insulation examples: Cellulose, Mineral Wool, Fiberglass
https://energyeducation.ca/encyclopedia/Fibrous_insulation



Impermeable insulation examples: EPS, XPS, Polyiso
https://www.greenbuildingadvisor.com/article/choosing-rigid-foam

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Residential

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R401.2.5

Additional Energy Efficiency

R401.2.5 lays out requirements for improving efficiency over base compliance paths: Targets 5% improvement over base code-compliance building

- **Prescriptive Compliance**:
  - Select an additional efficiency package from R408 to implement
- **Total Building Performance Compliance**:
  - Include R408 package but do not model in proposed design
  - Include R408 package in proposed design, and achieve 5% energy cost reduction over standard reference design
- **Energy Rating Index Compliance**:
  - ERI value shall be 5% less than specified in Table R406.5
  - ERI targets returns to 2015 IECC levels (more stringent!)

Passive House Certified: No additional requirements (IL Amendment)

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Efficiency Package Options (I)

- **Efficient Envelope Performance**
  - 5% reduction in UA over Table R402.1.2.
  - SHGC shall be 5% less than Table R402.1.2 values
- **Efficient HVAC Equipment Performance**
  - 95% AFUE/10 HSPF heating with 16 SEER cooling
  - 3.5 COP ground-source heat pump
  - All systems must comply for multi-system residences
- **Reduced Service Hot Water Energy**
  - 0.82 EF gas, 2.0 EF electric, and 0.4 solar fraction water heaters

Efficiency Package Options (II)

- **Efficient Duct Thermal Distribution**
  - 100% of ducts within thermal envelope
  - 100% ductless or hydronic within thermal envelope
  - 100% within conditioned space per R403.3.2
- **Improved Air Sealing and Ventilation**
  - Air leakage of 3.0 ACH_{50} or less with ERV or HRV
  - 75% sensible recovery + 50% latent recovery when applicable
  - 1.1 cfm/watt or less fan efficiency
  - Cannot use recirculation for defrost

R405

Total Building Performance Compliance

The Total Building Performance Compliance path has been reorganized and clarified.

R405.2: A proposed design must meet the following requirements:

1. Meet requirements of all sections indicated in Table R405.2
2. Proposed design has an annual energy cost that is less than or equal to the annual energy cost of the standard reference design.

Any proposed design must also meet the following envelope **performance backstop**.

- Building thermal envelope shall be greater than or equal to levels of efficiency and SHGCs in Table R402.1.1 or R402.1.3 of the **2009 IECC**.

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R406.4

Maximum Energy Rating Index

Climate Zone	2018 ERI Target	2021 ERI Target
4	62	54
5	61	55

Return to 2015 IECC ERI Targets!

Recall with Additional Efficiency Packages:

1. Meet this score and then include one package OR
2. Model the efficiency package and have 5% reduction in ERI

Envelope performance backstop requirement if renewables not included: $UA_{proposed} \leq 1.15 \times UA_{reference}$

With renewables, envelope performance backstop is 2018 IECC

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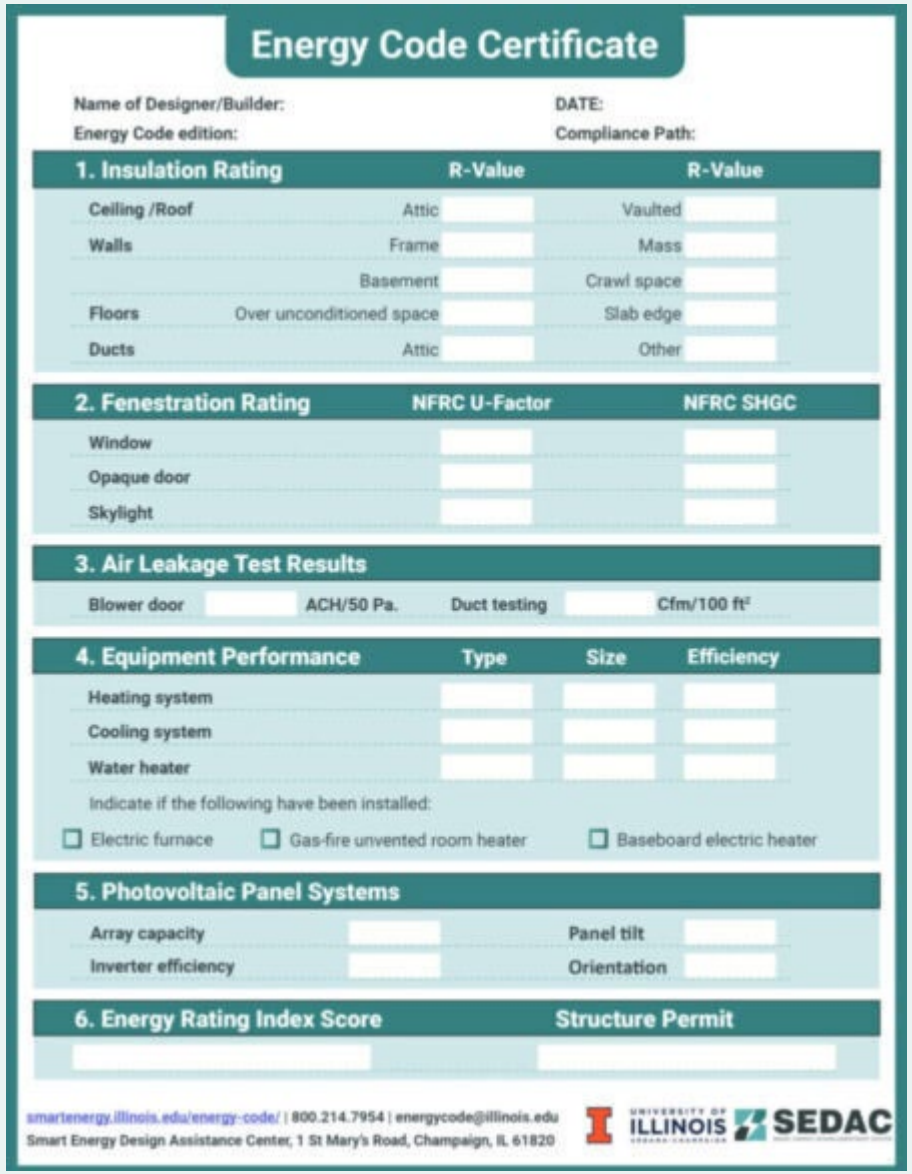
R401.3

Energy Certificate



Energy Code Certificate

Name of Designer/Builder: DATE:

Energy Code edition: Compliance Path:

1. Insulation Rating		R-Value		R-Value
Ceiling /Roof	Attic		Vaulted	
Walls	Frame		Mass	
	Basement		Crawl space	
Floors	Over unconditioned space		Slab edge	
Ducts	Attic		Other	

2. Fenestration Rating	NFRC U-Factor	NFRC SHGC
Window		
Opaque door		
Skylight		

3. Air Leakage Test Results

Blower door ____ ACH/50 Pa. Duct testing ____ Cfm/100 ft²

4. Equipment Performance	Type	Size	Efficiency
Heating system			
Cooling system			
Water heater			

Indicate if the following have been installed:

☐ Electric furnace ☐ Gas-fire unvented room heater ☐ Baseboard electric heater

5. Photovoltaic Panel Systems			
Array capacity		Panel tilt	
Inverter efficiency		Orientation	

6. Energy Rating Index Score	Structure Permit

smartenergy.illinois.edu/energy-code/ | 800.214.7954 | energycode@illinois.edu

Smart Energy Design Assistance Center, 1 St Mary's Road, Champaign, IL 61820

ILLINOIS SEDAC

Added requirement to list on-site PV capacity, inverter efficiency, and panel tilt/orientation if installed.

Ensure certificate does not cover other safety or informational tags when installed!

Other requirements unchanged. Display:

- Weighted average or largest portion R-values
- Display window U-factors and SHGCs
- Air & duct leakage test results
- Type and Efficiency of HVAC systems
- Code version for compliance

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R401.3

Residential Compliance Resources

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About Programs Who We Serve Resources Blog Contact

Energy Code Checklists

Home › Energy Code Checklists

Check out our checklists to help with energy code site inspection and compliance!

Illinois Home Energy Code Checklist & Energy Certificate

June 16, 2022

For Homeowners and Realtors. Are you interested in buying an energy efficient home? Do you want to learn how to make your home more energy efficient? This checklist can help you quickly assess a home's energy performance and construction.

Residential Energy Code Checklist

March 2, 2021

For Building Code Officials, Architects, and Engineers. This Residential Energy Code Checklist is intended to assist with plan review and site inspection for the Illinois Energy Code.

Table R402.1.2

Maximum Assembly U-Factors

Climate Zone	Fenestration U-Factor	Skylight U-factor	Fenestration SHGC	Ceiling U-Factor	Wood Frame Wall U-Factor	Mass Wall U-Factor	Floor U-Factor	Basement Wall U-Factor	Crawl Space Wall U-Factor
4-2018	0.32	0.55	0.40	0.026	0.060	0.098	0.047	0.059	0.065
5-2018	0.30	0.55	NR	0.026	0.060	0.082	0.033	0.050	0.055
4-2021	0.30	0.55	0.40	0.024	0.045	0.098	0.047	0.059	0.065
5-2021	0.30	0.55	0.40	0.024	0.045	0.082	0.033	0.050	0.055

Table includes 2021 IECC insulation levels.

Proposed IL amendments are likely to **maintain wall and ceiling insulation** requirements at 2018 IECC levels!

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Table R402.1.3

Minimum Assembly R-Values

Climate Zone	Fenestration U-Factor	Skylight U-factor	Fenestration SHGC	Ceiling R-Value	Wood Frame Wall R-Value	Mass Wall R-value	Floor R-value	Basement Wall R-value	Slab R-value & Depth
4-2018	0.32	0.55	0.40	49	20 or 13+5	8/13	19	10/13	10, 2ft
5-2018	0.30	0.55	NR	49	20 or 13+5	13/17	30	15/19	10, 2ft
4-2021	0.30	0.55	0.40	60	30, 20+5, 13+10, or 0+20	8/13	19	10ci/13	10, 4ft
5-2021	0.30	0.55	0.40	60	30, 20+5*, 13+10, or 0+20	13/17	30	15ci/19/ 13+5ci	10, 4ft

Proposed IL amendments are likely to **maintain wall and ceiling insulation** requirements at 2018 IECC levels.

*PHIUS notes for CZ-5 that R20+R5ci for framed walls can lead to condensation, thus R30, 13+10ci or 0+20ci is recommended!

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R402.2.4
R402.2.5

Access Hatches and Doors

R402.2.4: Clarity added to requirements for pull-down stair and vertical door attic access insulation

- **Vertical doors** comply with Table R402.1.3 requirements
- **Pull-down stairs** in Climate Zone 4 do not need to have insulation equivalent to attic if:
    - Hatch door is R-10 (U-0.10) or better
    - 75% of the panel area is R-13 or better
    - Opening net area is13.5sf or less
    - Hatch perimeter is weather stripped

Image source: Energy.gov

R402.2.5: Access hatch insulation retention

- Language clarified for retention of loose-fill insulation around hatch

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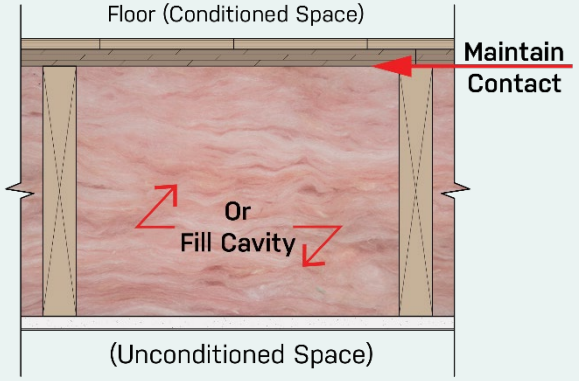
R402.2.7

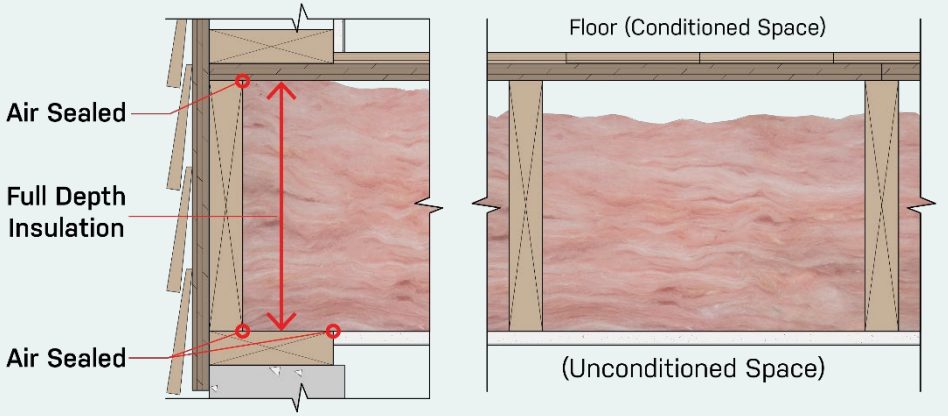
Floor Cavity Insulation

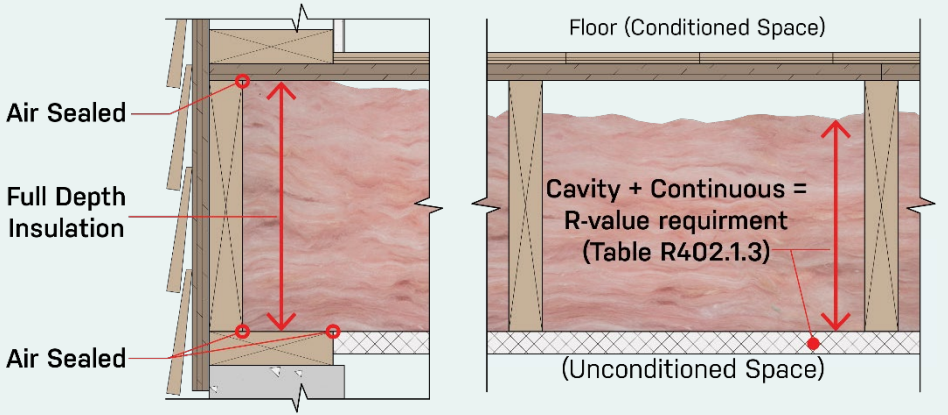
Floor cavity insulation must comply with one of the following:

1. Insulation must maintain contact with underside of the subfloor decking, or fill the available cavity
2. Cavity insulation can contact top of sheathing separating unconditioned space below.
3. A combination of cavity and continuous insulation can be installed such that the combined R-value equals the required value for floors from Table R402.1.3.

* 2 & 3: Insulation must be full depth at all perimeter framing members and all framing members shall be air sealed.







R402.2.8

Basement Walls

Large portion added explaining insulation for unconditioned basements

- Insulate floor over basement, including stairwell stringers
- Ensure **no uninsulated ducts** or hydronic systems, and no supply/return diffusers
- Walls surrounding stairway to be insulated
- Door insulated per R402.1.3 / R402.2 and weather stripped

Image source: https://blog.delafleur.com/?p=5944

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R402.2.12

Sunrooms and Heated Garages

Added heated garages to sunroom section as similar low-energy space types

- Must be **thermally isolated** from other conditioned spaces
- CZ 4 minimum ceiling insulation: R-19
- CZ 5 minimum ceiling insulation: R-24
- Minimum wall insulation: R-13
- Wall separating sunroom or garage from other spaces **fully insulated** per Table R402.1.2

Image courtesy: www.energy.gov

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Air Leakage

Table R402.4.1.1 Air Barrier, Air Sealing, and Insulation reference table updated

- Expanded air sealing list for foundations
  - Exposed earth covered with Class 1 vapor retarder
  - Penetrations through slab shall be air sealed
  - Class 1 vapor retarders **SHALL NOT** be used as the air barrier on below-grade walls
  - Rim joists shall include an exterior air barrier **and** be air sealed to adjacent framing members
- Added detail for narrow cavities
  - Shall be air sealed if 1” or less and cannot be insulated.
- Added air sealing note around pluming and utility penetrations

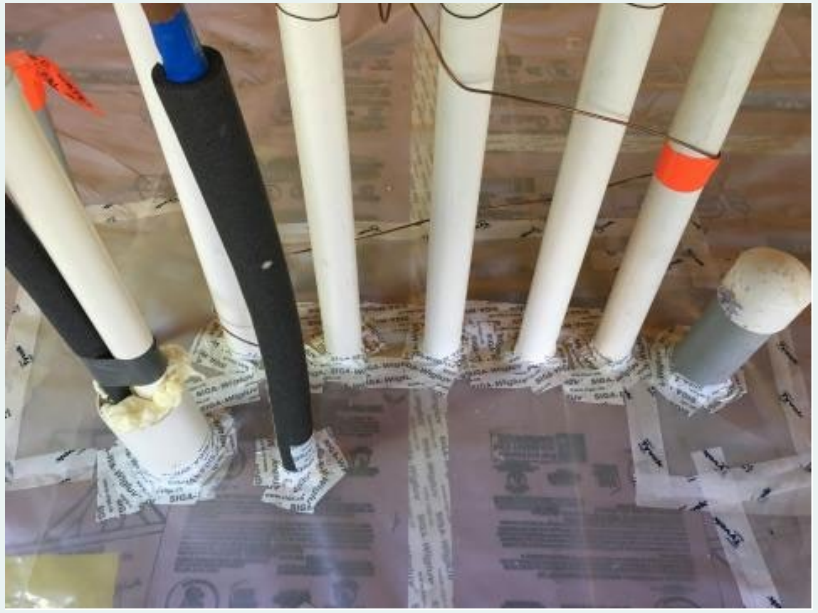

Image source: https://basc.pnnl.gov/slab penetrations

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R402.4.1.2

Air Leakage Testing

Adds backstop to air leakage of 5.0 ACH for all compliance paths

Added testing exception for heated garages on 1- and 2-story homes and townhomes, must maintain thermal isolation.

Added specific procedure for **multi-family testing** (previously included as IL amendment)

- Enclosure area-based metric (0.30 cfm/sf) rather than ACH at 50 Pa
- Unguarded test – neighboring units not pressurized to same as test unit

Image source: https://www.mncee.org/new-construction-services

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R402.4.6

Electrical and Communication outlet boxes

New section outlining air-sealing requirements for electrical and communications outlet boxes installed within the thermal envelope.

- Outlet boxes shall be tested in accordance with NEMA OS 4
- The tested air leakage rate shall not exceed 2.0 cfm at 75 Pa
- The outlet boxes shall be marked ‘NEMA OS 4’ or ‘OS 4’ and be installed per manufacturers instructions to achieve NEMA OS 4 compliance

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R403.3.1

Ducts in Unconditioned Space

- No changes to duct insulation requirements
  - R-8 wrap on ducts if 3” or more in diameter
  - R-6 wrap if less than 3” in diameter
  - Ducts under slab insulated as above or have **equivalent Thermal Distribution Efficiency (TDE)**.
    - If using TDE method, must be labeled and listed with equivalent R-value.

	A	B	C	D
1	Draft ASHRAE standard 152 duct efficiency calculations			
2	Jan-03		modified by PRC (location index and lookup values	
3	Mar-11		fixed typo "Qemen" --> "Qeman" (NREL)	
4				
5	INPUT PARAMETERS			CALCULATED PAR
6		**Value used in calculation**	**Notes**	
7	Location Index	**65**	**Chicago, IL**	
8	**Conditioned floor area, (ft^2)**	1761		
9	**Number of Stories**	2		
10	**Number of return Registers**	3		Ground Temperature for basements, and slabs
11	**House Volume, (ft^3)**	14440	**has a default of 8.2*Floor Area**	
12	**Supply Duct Surface Area, (ft^2)**	357	**has default equation**	Fraction of supply duct outside conditioned space
13	**Return Duct Surface Area, (ft^2)**	198	**has default equation**	Fraction of return duct outside conditioned space
14	**Fraction of supply duct in attic**	1		Design Supply Duct Zone temperature, Heating, (F)

- TDE can be calculated using ASHRAE 152 methods. A spreadsheet is available at https://www.energy.gov/eere/buildings/downloads/ashrae-standard-152-spreadsheet

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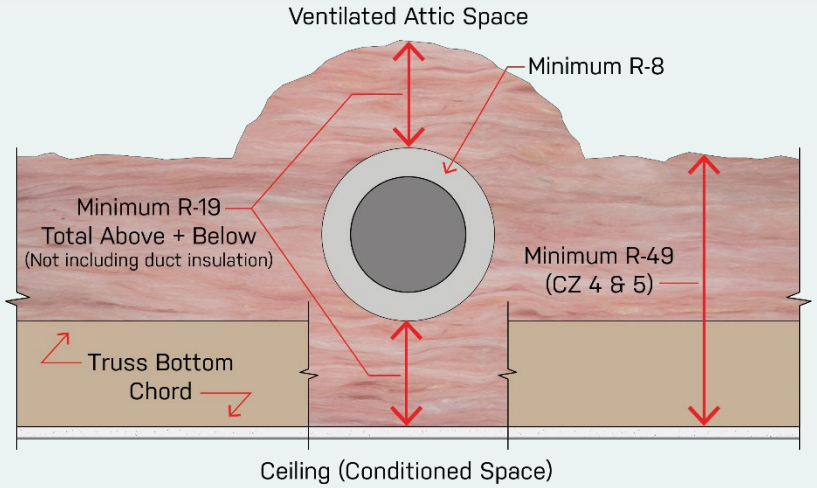
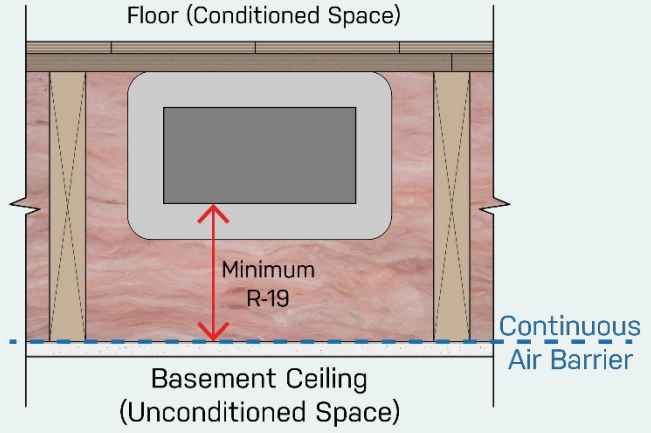
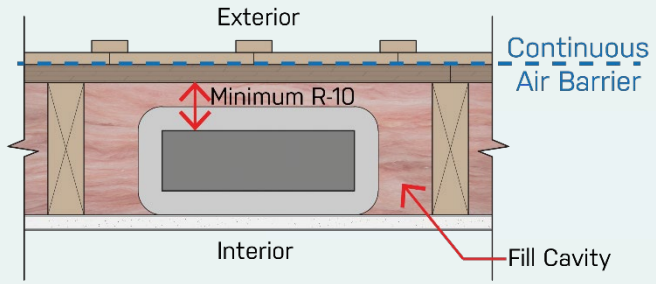
R403.3.2

Ducts in Conditioned Space

- Clarifies definitions of conditioned space for ducts
  - Entirely within thermal envelope
  - Ductless or hydronic system within thermal envelope
  - Ducting within **conditioned space**
    - Buried in attic insulation and sealed to 1.5cfm/100sf floor area
    - Ducts in floor cavities must have R-19 between duct and unconditioned space
    - Ducts in exterior walls must have R-10 between duct and exterior sheathing; rest of cavity filled with insulation







R403.3.5 & R403.3.6

Duct Testing & Leakage

- R403.3.5 Duct Testing
  - Duct test requirements unchanged: 25 Pa pressure test
  - Ducts serving non-integral ventilation systems (HRV/ERVs) exempted from testing
- R403.3.6 Duct Leakage
  - 4.0cfm/100sf floor area with air handler, 3.0 cfm without
  - **NEW REQUIREMENT:** 8.0 cfm/100sf floor area for ducts entirely within thermal envelope.

DUCT TESTING NOW REQUIRED REGARDLESS OF LOCATION!

NEW!

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R403.3.6

Duct Testing & Leakage Minimum

- R403.3.6 Duct Leakage
  - HVAC duct systems serving 1,500 sf or less if ducts not completely within thermal envelope
  - HVAC duct systems serving 750sf or less if ducts completely within thermal envelope
    - 60cfm or less

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R403.5.2

Hot water pipe insulation

This section states insulation for the following service hot water piping shall meet minimum thermal resistance of R-3:

- Piping ¾” and larger in nominal diameter ***located inside conditioned space (Added in 2021 IECC)***
- Piping serving more than one dwelling unit
- Piping located outside the conditioned space
- Piping from the water heater to a distribution manifold
- Piping located under a floor slab
- Buried piping
- Supply and return piping in ***circulation and recirculation systems other than cold water return*** demand recirculation systems ***(Added in 2021 IECC)***

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R403.6.2

Ventilation Fan Efficacy

Fan Location	Min. Airflow Rate	Min. Efficacy [CFM/W]	Fan Location	Min. Airflow Rate	Min. Efficacy [CFM/W]
2018 IECC			**2021 IECC**		
HRV/ ERV	Any	1.2	HRV/ ERV	Any	**1.2**
In-Line	Any	2.8	In-Line	Any	**3.8**
Bath/Utility	<90	1.4	Other	<90	**2.8**
Bath/Utility	≥90	2.8	Other	≥90	**3.5**
Range Hood	Any	2.8	Integrated with HVAC	Any	**1.2**

Grouped all common fans as “Other” and increased efficacy (bath, range, utility)
In-Line Fan efficacy reduced
Added supply-only ventilation fans as “Integrated with HVAC”

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R403.6.2

Ventilation Fan Efficacy

Fan efficacy must be on fan label or in the product documentation
Can find fan information at HVI website:
https://www.hvi.org/hvi-certified-products-directory/section-i-complete-product-listing/

Product Category	Brand Name	Model	SP	Rated CFM	Rated Watts	Efficacy (CFM/W)	2021 IECC
Bathroom Exhaust Fans	Homewerks Worldwide	7140-50-G3	0.1	50	17	2.9	YES
Bathroom Exhaust Fans	Homewerks Worldwide	7140-50-G3	0.1	80	28	2.9	YES
Bathroom Exhaust Fans	Hampton Bay	1000750751	0.1	70	50	1.4	NO
Bathroom Exhaust Fans	Hampton Bay	1000750752	0.1	110	31.8	3.5	YES
Bathroom Exhaust Fans	Delta	100F	0.1	100	12.6	7.9	YES
Bathroom Exhaust Fans	Uberhaus	30395000	0.1	70	24.7	2.8	YES
Bathroom Exhaust Fans	Uberhaus	30395001	0.1	90	56	1.6	NO
Bathroom Exhaust Fans	Utilitech	553457	0.1	70	13.2	5.3	YES

HVI HOME VENTILATING INSTITUTE

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R403.6.3

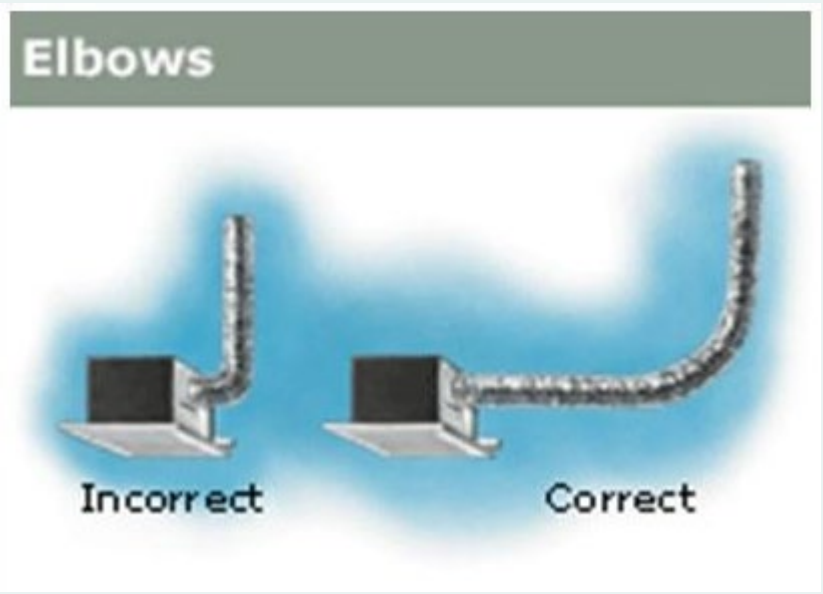
Ventilation Testing

NEW!

Installed fans must now be **TESTED** to verify performance
Avoids issue of installing rated fan, but duct length and bends reduce flow rate.

- Exception for kitchen range hoods w/ 6” duct & at most 1 bend

https://energyconservatory.com/applications/air-flow-devices/



https://basc.pnnl.gov/resource-guides/bathroom-exhaust-fans#edit-group-description

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R403.6.3

Ventilation Credit

Minimum mechanical ventilation rate can be reduced by 30% if:

- Whole-house ventilation system is a balanced ventilation system

AND

- Ducted system supplies ventilation directly to each bedroom and to one or more of the following:
  - Living room
  - Dining room
  - Kitchen

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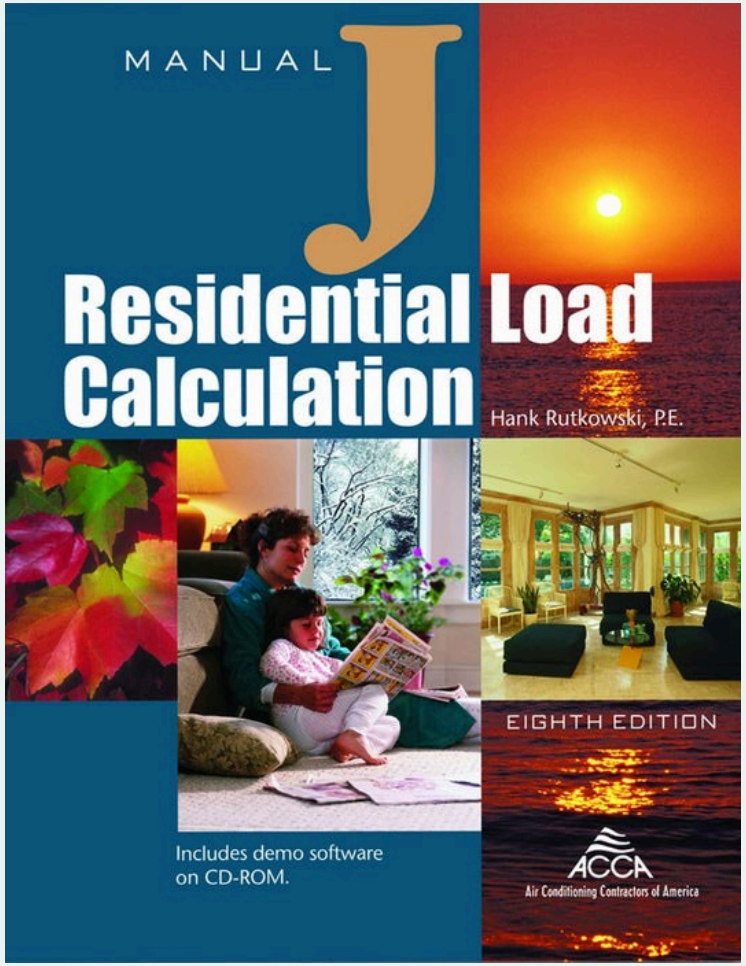
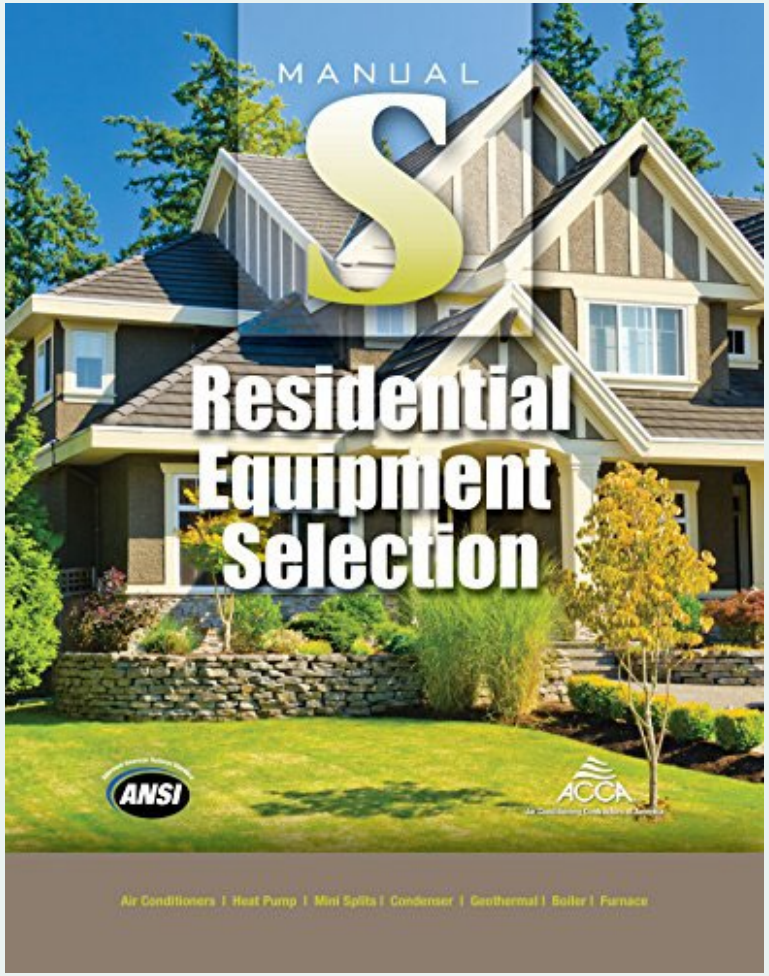
R403.7

HVAC Load and Sizing Calculation

All HVAC systems required to have load calculation per ACCA Manual J
All Systems required to be sized per ACCA Manual S





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R403.7

HVAC Load and Sizing Calculation

Manual J determines building loads, and should be conducted for all new construction and renovation projects

Manual S uses Manual J results to determine properly sized HVAC system
Manual D sizes duct systems. Required by International Residential Code (Section M1601.1).
Be sure to use approved software or speed sheets!

Image sources: Air Conditioning Contractors of America

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R404.1

Interior Lighting

- 2018 IECC: 90%+ of permanent lighting shall be high-efficacy
- 2021 IECC: **100%** of permanent lighting shall be high efficacy
- Does not impact plug-in lighting sources like floor and desk lamps

Image source: GE Lighting

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R404.2

Interior Lighting Controls

NEW!

New requirement to 2021 IECC – Residential Lighting Controls

- **PERMANENTLY INSTALLED FIXTURES** shall have dimmer, occupant sensor control, or other control installed or built into fixture.
  - Exceptions include
    - Bathrooms
    - Hallways
    - Exterior lighting fixtures*
    - Lighting for safety or security

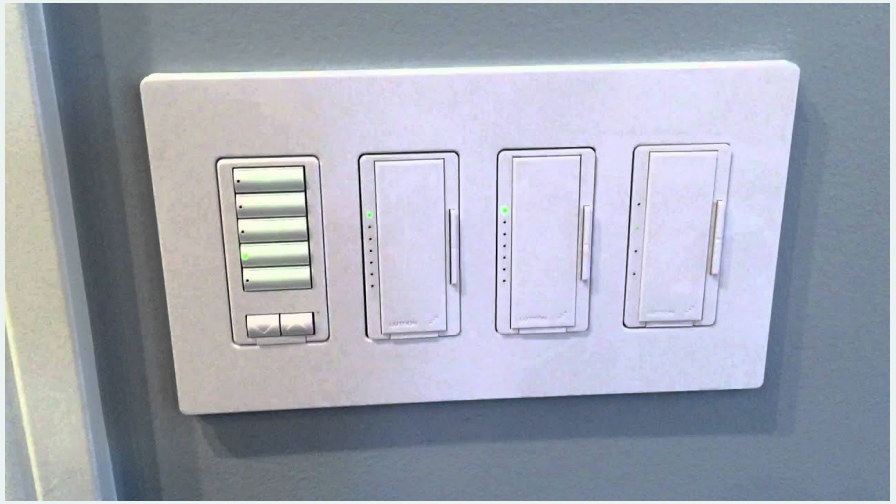

Image source: https://manuals.plus/lutron/wireless-lighting-control-manual#axzz7Xos3cbjA

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R404.3

Exterior Lighting Controls

New to 2021 IECC – Exterior Lighting Controls

- **PERMANENTLY INSTALLED** outdoor lighting >30 W in total power required to turn off with adequate daylight
- Can be photocell or time clock
- Override permitted up to 24hrs
  - Must then return to automatic operation

Image source: cnet.com

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PHIUS Compliance Option

Documentation to be provided prior to issuance of building permit

- List of compliance features
- PHIUS precertification letter

Documentation to be provided prior to issuance of certificate of occupancy

- PHIUS 2021 (or later) project certificate

The Passive House Institute US Awards

The Designation of

PHIUS+ 2015 CERTIFIED PROJECT

No. 1421

577 W. 161st St

577 W. 161 St.
New York, NY 10032

Rohan Development LLC
PROJECT OWNER

February 10, 2021
DATE

Carmel Pratt
CPHC®

PM Architecture PC
ARCHITECT

Technocraft Inc
CONSTRUCTION

Jordan Dentz
ON-SITE VERIFICATION

• INTERIOR CONDITIONED FLOOR AREA	11,757	ft²
• ANNUAL HEATING DEMAND	2.79	kBTU/ft²yr
• ANNUAL COOLING DEMAND	5.35	kBTU/ft²yr
• PEAK HEATING LOAD	3.72	BTU/ft²hr
• PEAK COOLING LOAD	2.82	BTU/ft²hr
• AIR-TIGHTNESS TEST RESULTS	0.06	CFM50/ft²
• SOURCE ENERGY	6,942 | 5,392 (PV)	kWh/person.yr
• SITE ENERGY USE INDEX (EUI)	567	kBTU/ft²yr

Executive Director

PHIUS
Passive House Institute US

Image source: pmarchitecture.com

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R502

Building Additions

Added clarification for change in space conditioning

- Examples: Converting garage to conditioned room, conditioning attic, etc…
  - Performance Path: If proposed design is 110% of reference design, addition is compliant
  - Performance Path: If Addition + Original Building energy cost is less than Original Building alone
  - UA Trade-off: Where UA of building + addition is less than UA of original building

Removed restriction to exception for extending existing ducts to addition

- No longer must be <40ft in unconditioned space to qualify for exception

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Alterations

Like R502 Additions, the duct requirements have been relaxed

- 2018 IECC: New HVAC ducts shall comply with R403,
    - If length of alteration <40ft in unconditioned space, don't need to test for leakage.
- 2021 IECC: Altered HVAC ducts shall comply with R403,
    - If alteration is **extension of existing ducts to an addition**, exempt from R403

R505

Change of Occupancy or Use

2018 IECC R505.1: **Any space** changing occupancy class that **increases demand** for energy shall comply with full energy code

2018 IECC R505.2: Any space converted to a dwelling unit…from another use or occupancy shall comply with this code

2021 IECC R505.1.1: Any unconditioned or low-energy space altered to become conditioned space shall be brought into full compliance with this code.

Image Source: https://www.feldcochicago.com/garage-living-space/

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Performance

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R505

Change of Occupancy or Use

2018 IECC R505.1: **Any space** changing occupancy class that **increases demand** for energy shall comply with full energy code

2018 IECC R505.2: Any space converted to a dwelling unit…from another use or occupancy shall comply with this code

2021 IECC R505.1.1: Any unconditioned or low-energy space altered to become conditioned space shall comply with R502-Additions

Image Source: https://www.feldcochicago.com/garage-living-space/

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Resources

ashrae-meteo.info/v2.0/ (local design conditions)

Codes.iccsafe.org (free view-only code books)

www.ashrae.org/technical-resources/standards-and-guidelines/read-only-versions-of-ashrae-standards (free view-only ASHRAE Standards)

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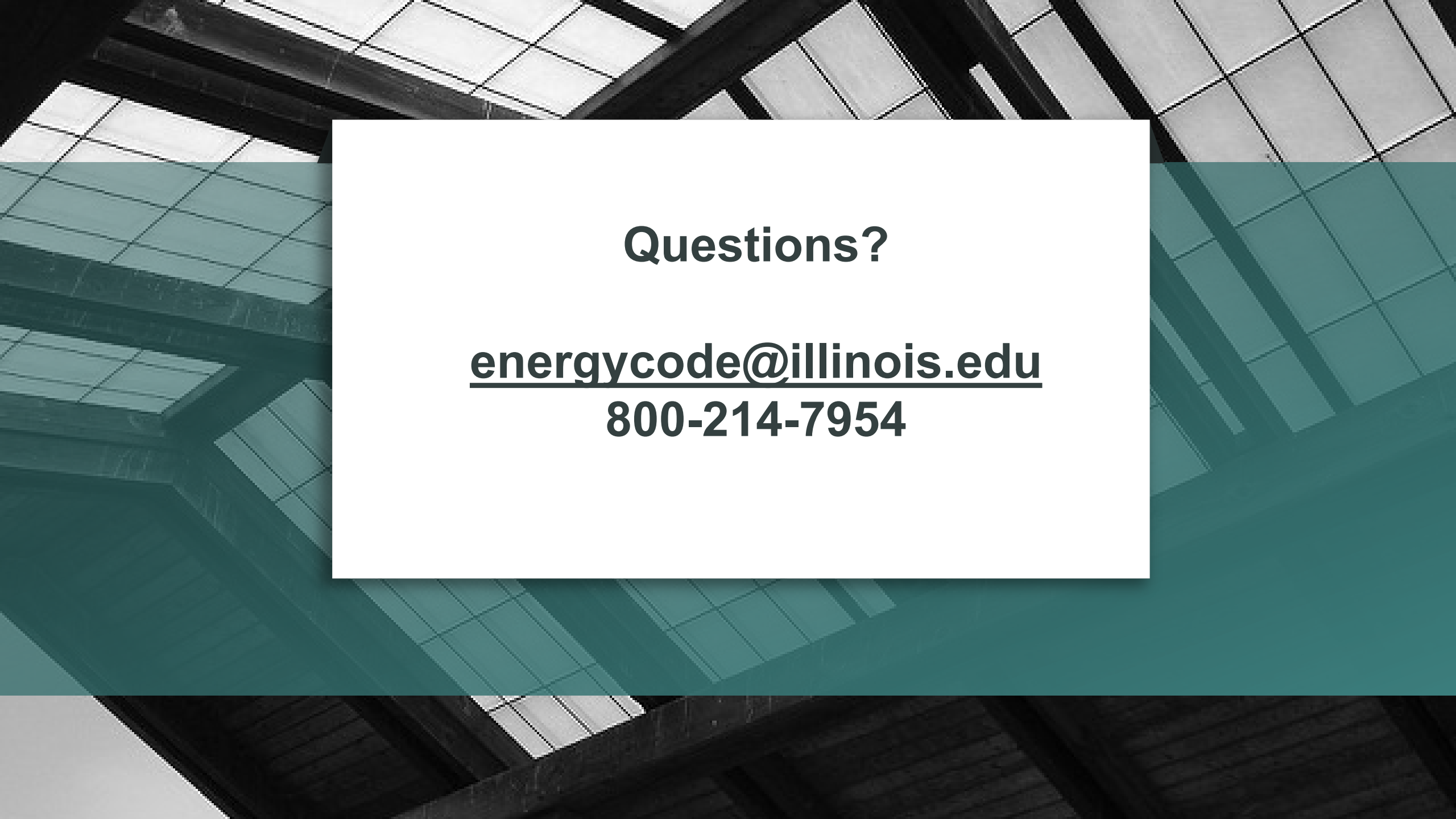

Questions?

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800-214-7954